



Europa Media Trainings

Project management, reporting and innovation management novelties in Horizon Europe

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Horizon Europe Academy

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CONTENT

1. Mutual insurance mechanism
2. Continuous reporting in Horizon Europe
3. Changes in the HE Periodic reporting
4. Exploitation and innovation management processes
5. EC tools to boost your exploitation efforts

Mutual Insurance Mechanism and the Pre-financing

Mutual Insurance Mechanism (MIM)

Replacing the Guarantee Fund in Horizon Europe

5% Contribution to the Mechanism:

but it can be more, or less.

- Actions require a 5% contribution by the Beneficiaries.
- Periodic evaluation may change it and bring it up to 8% or reduce it under 5%.
- It can be offset from the first pre-financing and be paid to the Mechanism on behalf of the beneficiaries.
- Additional OPTION for programmes with MIM split contribution – contribution can be partially offset from the additional pre-financing.
- The contribution cannot exceed the amount of the initial pre-financing.
- The Mechanism may be extended to beneficiaries of any other directly managed Union programme.
- The Commission shall adopt modalities for participation of beneficiaries of other programmes.

Pre-financing payment

Model Grant Agreement

22.3 Amounts due

22.3.1 Prefinancing payments

The aim of the prefinancing is to provide the beneficiaries with a float.

It remains the property of the EU until the final payment.

For **initial prefinancings** (if any), the amount due, schedule and modalities are set out in the Data Sheet (see Point 4.2).

For **additional prefinancings** (if any), the amount due, schedule and modalities are also set out in the Data Sheet (see Point 4.2). However, if the statement on the use of the previous prefinancing payment shows that less than 70% was used, the amount set out in the Data Sheet will be reduced by the difference between the 70% threshold and the amount used.

The contribution to the Mutual Insurance Mechanism will be retained from the prefinancing payments (at the rate and in accordance with the modalities set out in the Data Sheet, see Point 4.2) and transferred to the Mechanism.

Prefinancing payments (or parts of them) may be offset (without the beneficiaries' consent) against amounts owed by a beneficiary to the granting authority — up to the amount due to that beneficiary.

→ e.g. Erasmus

Reporting and Payments options

Draft Corporate Model Grant Agreement – Data Sheet

4. Reporting, payments and recoveries

4.1 Continuous reporting (art 21)

Deliverables: see Funding & Tenders Portal Continuous Reporting tool

[OPTION for HE ERC Grants: Progress reports (ERC Scientific report): No/Yes (deadline for submission, 60 days after end of period)

Progress report No	Month from	Month to
1	[number]	[number]
2	[number]	[number]

Reporting and payment modalities (art 21, 22):

Mutual Insurance Mechanism (MIM): Yes

MIM contribution: *[5-8%/[...]%* of the maximum grant amount ([insert amount]), retained from the initial prefinancing *[additional OPTION if selected for the call: [...]%* of the maximum grant amount ([insert amount]), retained from the second prefinancing*[additional OPTION if selected for the call: and [...]%* of the maximum grant amount ([insert amount]), retained from the third prefinancing]

Restrictions on distribution of initial prefinancing: The prefinancing may be distributed only if the minimum number of beneficiaries set out in the call conditions (if any) have acceded to the Agreement and only to beneficiaries that have acceded.

Interim payment ceiling (if any): 90% of the maximum grant amount

Reporting and Payments options

Draft Corporate Model Grant Agreement – Data Sheet

4.2 Periodic reporting and payments

Reporting and payment schedule (art 21, 22):

Reporting				Payments						
Reporting periods			Type	Deadline	Type	Deadline (time to pay)				
RP No	Month from	Month to								
				Initial prefinancing	<i>[OPTION 1 by default: 30 days from entry into force/10 days before starting date – whichever is the latest]</i> <i>[OPTION 2: if selected for the call: n/a]</i>					
				1	[number]	[number]	Additional prefinancing report	60 days after end of reporting period	Additional prefinancing	<i>[OPTION 1 if selected for the grant: 60 days from receiving additional prefinancing report – whichever is the latest]</i> <i>[OPTION 2: n/a]</i>
				2	[number]	[number]	Periodic report	60 days after end of reporting period	Interim payment	<i>[OPTION 1 if selected for the grant: 90 days from receiving periodic report]</i> <i>[OPTION 2: n/a]</i>
				3	[number]	[number]	Periodic report	60 days after end of reporting period	Final payment	90 days from receiving periodic report

→ e.g. Erasmus

Continuous reporting in Horizon Europe

Continuous Reporting

New in Horizon Europe

“Specific focus will be put on **enhancing the quality of the data** collected through the various forms and reporting templates.

This initiative aims at providing close to **real time and quality data** for reporting and analytical purposes, reducing data maintenance costs and enhancing data integration.

To this extent, the Commission intends to enhance **e-forms and reporting templates used by beneficiaries**”

The reporting will include amongst others, enhanced sections for **IP management and open science**



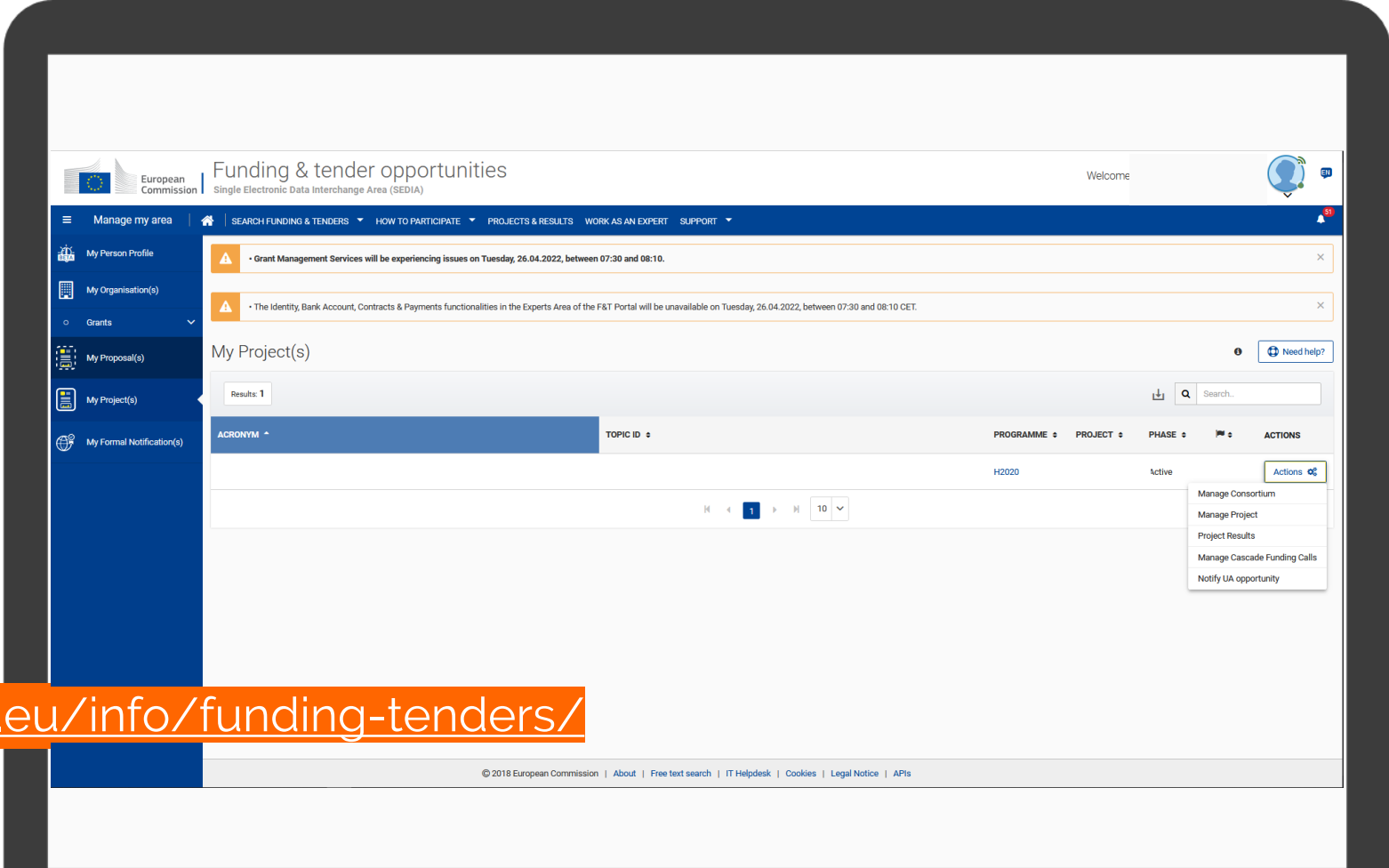
ARTICLE 21 — REPORTING

21.1 Continuous reporting

The beneficiaries must continuously report on the progress of the action (e.g. **deliverables, milestones, outputs/outcomes, critical risks, indicators**, etc; if any), in the Portal Continuous Reporting tool and in accordance with the timing and conditions it sets out (as agreed with the granting authority).

Standardised deliverables (e.g. progress reports not linked to payments, reports on cumulative expenditure, special reports, etc; if any) must be submitted using the templates published on the Portal.

The Funding and Tenders Portal



<https://ec.europa.eu/info/funding-tenders/>

Continuous reporting module

FUNCTIONS:

- Enter publishable summary
- Submit deliverables
- Report progress in achieving milestones
- Follow up critical risks
- Report on the impact and the project results
- Report communication and dissemination actions
- Publications and Datasets... etc

Continuous Reporting is activated at the project start!

The screenshot displays the 'RESEARCH & INNOVATION Grant Management Services' interface. The top navigation bar includes the European Commission logo and a 'Help' dropdown. The main content area is divided into two columns. The left column, titled 'MY PROJECT', shows details for a 'HORIZON 2020' project with the following information: Call: H2020-SwafS-2018-1, Type of Action: CSA, Acronym: (blank), Current Phase: Grant Management, Number: 824544, Duration: 48 months, GA based on the: H2020, General MGA — Multi - 5.null, Start Date: 01 Jan 2019, and Estimated Project Cost: (blank). Below this, it lists 'Requested EU Contribution:' and 'Contact:'. A vertical menu on the left side of this column contains buttons for 'Latest Legal Data', 'Active Processes', 'Document Library', 'Communication Centre', and 'Archived Processes'. At the bottom of this column is a button for 'H2020 ONLINE MANUAL' and a green 'HOW TO' button. The right column features a 'Launch new interaction with the EU' button with a plus sign. Below this is a 'Continuous Reporting' section for project 824544, showing a progress bar from '01 Jan 2019' (Started) to 'Completed'. A sub-menu for 'Continuous Reporting' includes 'Continuous reporting data', 'Process documents', 'Process communications', and 'Process history'.

Project Summary

Grant Management		Project Continuous Report														
HORIZON...		Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
Call: Topic:																

Project Summary for publication

SAVE

i This section is structured in four sub-sections that must be completed on-line with suitable quality to enable direct publication by the Commission/Agency/other EU funding body. It should be easy to read i.e. written in a language easily understandable by a broader public, thereby promoting the dissemination and supporting the exploitation of EU funded results. It should preferably not exceed 7480 characters (equivalent to two pages of a text document). This part must not contain any confidential or personal data (e.g. names and addresses).

The summary for publication must be drafted as a "stand-alone" text. No references should be made to other parts of the report. References can be made only to publicly available information.

Beside the summary filled within the tool, diagrams or photographs illustrating and promoting the work of the project can be provided (only as images).

- ▶ [Context and overall objectives](#)
- ▶ [Work performed and main achievements](#)
- ▶ [Results beyond the state of the art](#)
- ▶ [Policy relevant evidence of your project](#)

▼ Images attached to the Project Summary for Publication

Upload

Image Name	Image Description	Actions

Validate

Researchers involved in the project

The screenshot displays a project management interface. At the top, there is a navigation bar with the following components:

- Grant Management
- Project Continuous Report
- Project Summary (marked with a red 'X')
- Researchers involved in the project (highlighted in blue, marked with a red 'X')
- Deliverables (marked with an 'i')
- Milestones (marked with an 'i')
- Critical Risks (marked with a green checkmark)
- Publications (marked with an 'i')
- Disseminat... activities (marked with a green checkmark)
- Standards (marked with a green checkmark)
- Patents (IPR) (marked with a red 'X')
- Communic... Activities (marked with a green checkmark)
- Datasets (marked with a red 'X')
- Financial support to 3rd parties (marked with a green checkmark)
- Beneficiari... Feedback (marked with a green checkmark)
- Impact (marked with a green checkmark)
- Results (marked with a green checkmark)

Below the navigation bar, the section is titled "Researchers involved in the project" and includes a "SAVE" button. A checkbox is present with the text: There is no researcher involved in the project yet.

A table is displayed below the checkbox, with the following columns:

Beneficiary	First Name	Surname	Gender	Nationality	Email	Career Stage	Role of researcher	Personal Identifier	Contract duration	Actions
-------------	------------	---------	--------	-------------	-------	--------------	--------------------	---------------------	-------------------	---------

The "Actions" column header is circled in red, and a green plus icon with the text "Add Researcher" is visible next to it. A "Val date" button is located at the bottom right of the interface.

Deliverables

Grant Management
Project Continuous Report

Project Summary
Researchers involved in the project
Deliverables
Milestones
Critical Risks
Publications
Disseminat... activities
Standards
Patents (IPR)
Communic... Activities
Datasets
Financial support to 3rd parties
Beneficiari... Feedback
Impact
Results

Deliverables and Other Reports

For each Deliverable, a single file (max 52MB) can be uploaded

▼ Add actual delivery dates (or new due date for late deliverables, together with an explanation for the delay). In the Comments, please indicate if the deliverable was achieved as planned or not.

The labels used mean:

- Public – fully open (👉 automatically posted online on the Project Results platforms)
- Sensitive – limited under the conditions of the Grant Agreement
- EU classified – RESTREINT-UE/EU-RESTRICTED, CONFIDENTIEL-UE/EU-CONFIDENTIAL, SECRET-UE/EU-SECRET under Decision 2015/444

[Show Filters](#) [Clear Filters](#)

Work Pac	Deliverat	Deliveri	Deliverable Name	Description	Lead E	Type	Dissemin	Due Date	New Due Dat	Delivery Dat	Approval Date	Status		
WP1	D1.1	D1				R	PU	31 Aug 202				Pending		👉
WP1	D1.2	D2				R	PU	31 Oct 202				Pending		👉
WP1	D1.3	D3				R	PU	28 Feb 202				Pending		👉
WP2	D2.1	D4				R	PU	30 Nov 202				Pending		👉
WP2	D2.2	D5				R	PU	30 Nov 202				Pending		👉
WP2	D2.3	D6				R	PU	30 Nov 202				Pending		👉
WP2	D2.4	D7				R	PU	31 Mar 202				Pending		👉
WP2	D2.5	D8				R	PU	31 Mar 202				Pending		👉
WP2	D2.6	D9				R	PU	31 Mar 202				Pending		👉
WP3	D3.1	D10				R	PU	30 Nov 202				Pending		👉
WP3	D3.2	D11				R	PU	31 May 202				Pending		👉
WP3	D3.3	D12				R	PU	29 Feb 202				Pending		👉
WP3	D3.4	D13				R	PU	31 Mar 202				Pending		👉
WP3	D3.5	D14				R	PU	31 Mar 202				Pending		👉
WP3	D3.6	D15				R	PU	31 Mar 202				Pending		👉

Validate

Milestones

Grant Management
Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✗	✓	✓	✓	✓

Milestones SAVE

Milestr. #	Milestone Name	Work Package No	Lead Beneficiary	Means of Verif	Delivery Date	Delivery Date (actual)	Achieved	Comments
1		WP2, WP1, WP4, WP3		Minutes of :	31 May 2023	<input type="text"/>	<input type="checkbox"/>	
2		WP4, WP5		Internal rep	31 May 2024	<input type="text"/>	<input type="checkbox"/>	
3		WP2, WP4, WP3		Minutes of :	30 Nov 2024	<input type="text"/>	<input type="checkbox"/>	
4		WP2, WP4, WP3, WP5		Internal rep	31 May 2025	<input type="text"/>	<input type="checkbox"/>	

Validate

Grant Management
Project Continuous Report

Project Summary

Researchers involved in the project

Deliverables

Milestones

Critical Risks

Publications

Disseminat... activities

Standards

Patents (IPR)

Communic... Activities

Datasets

Financial support to 3rd parties

Beneficiar... Feedback

Impact

Results

Critical Implementation Risks and Mitigation Actions

SAVE

At the end of each period beneficiaries should give the state of play of every risk identified in Annex 1 and if necessary give new mitigation measures.

Foreseen Risks

The following table lists the risks identified in Annex 1. The risk information is read-only and it is provided as a reference for the state of play information.

Risk No	Description	Work Package No(s)	Risk Mitigation Measures	State of the Play Period	State of the Play Did you apply risk mitigation measures?	State of the Play Did your risk materialise?	State of the Play Comments	Actions
1		2						
2		2						
3		2, 3						
4		2						
5		3						
6		2, 3, 4 ...						
7		6						
8		6						
9		6						
10		7						

Unforeseen Risks

Add Unforeseen Risk

There are no unforeseen critical risks.

Validate

Publications

Grant Management
Project Continuous Report

Project Summary
Researchers involved in the project
Deliverables
Milestones
Critical Risks
Publications
Disseminat... activities
Standards
Patents (IPR)
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Impact
Results

SAVE

This project does not currently have any scientific publications.

Suggested publications from OpenAIRE (0 pending publications and 0 discarded publications)

Type	Title	Authors	Title of the Journal or equivalent	Month and Year of publication	PID (Publisher version of record)	PID of the deposited publication	Actions

Project publications (0 publications)

Show/Hide Filters
Clear Filters

Type	Title	Authors	Title of the Journal or equivalent	Number	Peer-reviewed	Was the publication available in open access through the repository at the time of publication	PID (Publisher version of record)	PID of deposited publication	Actions

[Export to Excel](#)
[Add Publication](#)

i * "open access" means the practice of providing online access to research outputs resulting from actions funded under the Programme, in particular scientific publications and research data, free of charge to the end-user

Validate

Dissemination activities in HE

nceylao (EXTERNAL) ?
Grant Management
Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Dissemination Activities

SAVE

There is no dissemination activity for this project yet

▼ The public disclosure of the results by any appropriate means (other than resulting from protecting or exploiting the results), including by scientific publications in any medium.

+ Add dissemination activity

Dissemination activity name	What? Type of dissemination activity	Who? Target audience (Choose one or more items)	Why? (Max 200 characters)	Status	Actions

Valid date

Communication activities in HE

Grant Management

Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Dissemination activities	Standards	Patents (IPR)	Communications Activities	Datasets	Financial support to 3rd parties	Beneficiary Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Communications Activities

There are no communication activities for this project yet

Communication on projects is a strategically planned process that starts at the outset of the action and continues throughout its entire lifetime, aimed at promoting the action and its results. It requires strategic and targeted measures for communicating about (i) the action and (ii) its results to a multitude of audiences, including the media and the public and possibly engaging in a two-way exchange.

[+ Add Communication Activity](#)

No communication activities added

Validate

Communication activities in HE

SyGMA - System for Grant Management - Mozilla Firefox

https://ec.europa.eu/research/participants/grants-app/reporting/DLV-101057901

Grant Management | Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Dissemination activities	Standards	Patents (IPR)	Communication Activities	Datasets	Financial support to 3rd parties	Beneficiary Feedback	Impact	Results

Communications Activities

There are no communication activities for this project yet

Communication on projects is a strategically planned process that starts at the outset of the project and continues throughout its duration, engaging in a two-way exchange.

Add Communication Activity

Communication activity*

Objective / expected impact (Why?)*

Link to work package* 1
 2
 3
 4
 5
 6
 7

Description of implemented activity*

Target audience (who?)*

Messages (what?)

Communication tool / channel (how?)*

Insert url for social media

Outcome of the activity (impact)*

Status of the communication activity*

results to a multitude of audiences, including the media and the public and possibly

[+ Add Communication Activity](#)

Validate

Standards

The screenshot displays a web-based project management interface. At the top, there is a navigation bar with the following elements:

- Grant Management**: Includes the European Union flag logo.
- Project Continuous Report**: A series of tabs with status icons:
 - Project Summary: Red 'X' icon
 - Researchers involved in the project: Red 'X' icon
 - Deliverables: Blue 'i' icon
 - Milestones: Blue 'i' icon
 - Critical Risks: Green checkmark icon
 - Publications: Blue 'i' icon
 - Disseminat... activities: Green checkmark icon
 - Standards**: Green checkmark icon with a mouse cursor pointing to it
 - Patents (IPR): Red 'X' icon
 - Communic... Activities: Green checkmark icon
 - Datasets: Green checkmark icon
 - Financial support to 3rd parties: Green checkmark icon
 - Beneficiari... Feedback: Green checkmark icon
 - Impact: Green checkmark icon
 - Results: Green checkmark icon

Below the navigation bar, the main content area is titled **Standards**. It contains the following text:

- This project does not currently have any standards
- Project Standards (0 standard)

A faint background image of a hand holding a pen is visible on the right side of the page. At the bottom right, there is a 'Val date' button.

Patents (IPR)

Grant Management

Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✗	✓	✓	✓	✓

Patents (IPR)

This project does not have any Registered Intellectual Property Right yet

i Important! If a filed application is rejected by the IPR authority during the course of the EU funded action (the project's duration) then you must remove the concerned item from the IPR list

[+ Add IPR](#)

There are no Intellectual Property Right registered.

[Validate](#)

Grant Management Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Datasets

This project does not currently have any dataset

No new Datasets suggested by OpenAIRE

Project Datasets (0 datasets)

PID	Type of PID	Description of Dataset	Is this Dataset available in Open Access *	URL to Repository	Actions
-----	-------------	------------------------	--	-------------------	---------

[Export to Excel](#) [Add Dataset](#)

* 'open access' means the practice of providing online access to research outputs resulting from actions funded under the Programme, in particular scientific publications and research data, free of charge to the end-user

Valid date

Financial support to 3rd parties

Grant Management | Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Financial Support to Third Parties

Sub-Calls

Call reference	Call budget	Budget awarded	Call publication date	Call closure date	URL to F&T portal	Call status	Number of received proposals	Number of awarded proposals	Action
+ Add Sub-Call									

Awarded Beneficiaries

By Call Reference By PIC

Call reference	PIC	Legal name	Organisation type	Country	Funding awarded	Funding paid	Comment	Action
----------------	-----	------------	-------------------	---------	-----------------	--------------	---------	--------

Beneficiaries Feedback (1/2)

Grant Management		Project Continuous Report														
	Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results	
	✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓	

Beneficiaries Feedback

SAVE



Key factors fostering and impeding the impact of the progress

To what extent are the key factors identified below fostering and impeding the progress of the project so far

Scientific excellence of the consortium

Geographic breath of the consortium

Previous collaborations between partners

Interdisciplinary and cross-sectoral approach of project

Integration of gender dimension in research content

Involvement of social sciences and humanities in the project

Strategic impact orientation of the project aligned to emerging needs

Involvement of users from project design

Management of intellectual & industrial property rights

Collaboration with wider ecosystem beyond the project (e.g. financial intermediaries, public authorities, standardisation, regulatory bodies)

Further funding secured to exploit project's results

Other (specify)

To a small extent
To a medium extent
To a large extent
To a very large extent

▼
▼
▼
▼
▼
▼

Validate

Beneficiaries Feedback (2/2)

	Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results	
	✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓	

Beneficiaries Feedback

Further funding secured to exploit project's results

Other (specify)

Highlight any good practice learning from the project for improved implementation that might be transferable to other projects:

To what extent are the key factors identified below impeding the progress of the project so far?

- Difficulties in project implementation and management, including access to human resources, securing additional funding, IPR management, cooperation between partners
- Difficulties in engaging with wider environment, including potential end-users, citizen and policy makers
- Competitive pressures are evolving differently than planned
- Scientific and technological contexts are evolving differently than planned
- Socio-economic and policy context are evolving differently than planned
- Other factors external to the project impede to progress as expected

Explain key difficulties faced for the implementation of the project and the problem-solving practices adopted or planned:

Impact (1/7)

Impact indicators

Grant Management | Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Impact

Impact Indicators | Citizen Engagement | Exploitation | Identified Bottleneck

I. Technology Readiness Level of The Project

Applicable Yes No

At project start

Current status

Expected by Project end

II. To what extent will the project results impact the following Sustainable Development Goals

Climate Neutrality	<input type="text"/>
Clean Water And Sanitation	<input type="text"/>
Life Below Water	<input type="text"/>
Life On Land	<input type="text"/>
No Poverty	<input type="text"/>
Zero Hunger	<input type="text"/>
Good Health And Well-Being	<input type="text"/>
Gender Equality	<input type="text"/>
Decent Work and Economic Growth	<input type="text"/>

Validate

Impact (2/7)

Impact indicators

Impact

SAVE

International Cooperation

Please explain your choice:

Do you want to report on the SDG impact and compliance according to the EU taxonomy? Yes No

Please provide data showing how the project results compare to technical screening criteria:



III. Progress towards objectives and impacts of the project

a) Please describe the progress of the project so far towards delivering scientific impact, based on its objectives, including quantification to the extent possible:

b) Please describe the progress of the project so far towards delivering economic impact, based on its objectives (e.g. to what extent will the project increase cost-effectiveness of industrial production or processes) including quantification to the extent possible:

c) Please describe the progress of the project so far towards delivering impact for society, including environmental impact, based on its objectives, including quantification to the extent possible:

Validate

Impact (3/7)

Citizen Engagement

Impact

SAVE

Impact Indicators Citizen Engagement Exploitation Identified Bottleneck

IV. Citizen Engagement

a) Regarding co-design and co-creation through the engagement of citizens, and/or end-user entities, how have citizens and end-user entities contributed to the co-creation of R&I content so far?

	Citizen	End user entities
Co-creating R&I visions, agendas, policies or frameworks	<input type="checkbox"/>	<input type="checkbox"/>
Co-creating R&I action plans or technology roadmaps	<input type="checkbox"/>	<input type="checkbox"/>
Collecting data for the project	<input type="checkbox"/>	<input type="checkbox"/>
Analysing data for the project	<input type="checkbox"/>	<input type="checkbox"/>
Providing resources, e.g. computational, space/locations, practical support	<input type="checkbox"/>	<input type="checkbox"/>
Monitoring and/or evaluating R&I results	<input type="checkbox"/>	<input type="checkbox"/>
Testing & experimenting with innovative R&I solutions	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to scientific publications or patent applications	<input type="checkbox"/>	<input type="checkbox"/>
Debating R&I findings and implications for them	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify) <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable	<input type="checkbox"/>	<input type="checkbox"/>

b) What mechanisms for citizen and/or end-user entity engagement have you set up and plan to maintain beyond the end of your project, or are planning to set up and maintain beyond the end of your project (per beneficiary)?

Select Beneficiary

Department, centre, lab, network, testbeds or other structure or space set up, internally or externally, to support citizen/end-user engagement

Validate

Impact (4/7)

Citizen Engagement

Impact SAVE

Learning or experimenting with innovative technologies

Contributing to scientific publications or patent applications

Debating R&I findings and implications for them

Other (please specify)

Not applicable

b) What mechanisms for citizen and/or end-user entity engagement have you set up and plan to maintain beyond the end of your project, or are planning to set up and maintain beyond the end of your project (per beneficiary)?

Select Beneficiary

Department, centre, lab, network, testbeds or other structure or space set up, internally or externally, to support citizen/end-user engagement

Institutional websites, web-pages or portals set up to support citizen/end-user engagement (excluding project website)

Staff appointed with responsibility to initiate, monitor, evaluate or advise on citizen/end-user engagement

Staff appointed with responsibility for training, mutual learning and sharing of tools and good practice on citizen/end-user engagement

Rules, standards, guidelines or other frameworks established to ensure that citizen/end-user engagement is taken into account in institutional R&I processes

Systematic or regular dialogues, meetings, workshops or other events set up for citizen/end-user engagement (excl.one-off events)

Other

None

c) Overall, how many individual citizens have been involved in co-creating R&I content for all activities listed? (please provide your best estimate, which should be traceable in one or more deliverables)

Validate

Impact (6/7)

Exploitation

Impact SAVE

VI. Further investment mobilized to exploit or scale-up project results *

No
 Yes:

Private/capital investment Public investment Own funds (may be more than one)

(If there is investment)

Geography: Local Regional National EU Non-EU

State: Planned In Process Obtained

Amount: EUR(thousands)

Please Explain

Please give URL link(s) to announcement(s) about the planned/obtained investment:

VII. Launch of a dedicated company to exploit the results *

Company: Spin-off Spin-out Joint venture Not sure yet No (May be more than one company)

*Asked only for final reporting

Validate

Impact (7/7)

Identified Bottleneck

Grant Management | Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results
✗	✗	i	i	✓	i	✓	✓	✗	✓	✓	✓	✓	✓	✓

Impact SAVE

Impact Indicators | Citizen Engagement | Exploitation | **Identified Bottleneck**

VIII. Identified bottleneck on the project's pathway to impact

Follow-up research	Select
Testing with end-users	Select
Demonstration in real-life environment	Select
Business plan development	Select
Access to risk capital & Scale-up funding	Select
Support for internationalisation and access to markets	Select
Legal advice (IPR or other)	Select
Partnership with other company (technology or other)	Select
Startup accelerator	Select
Supportive regulatory framework	Select
Standardisation	Select
Human resources & skills	Select
Procurement policies of the end users	Select

Other (specify) Select

Validate

Results (1/2)

Grant Management Project Continuous Report

Project Summary	Researchers involved in the project	Deliverables	Milestones	Critical Risks	Publications	Disseminat... activities	Standards	Patents (IPR)	Communic... Activities	Datasets	Financial support to 3rd parties	Beneficiari... Feedback	Impact	Results

Results

There is no result for this project yet

Please provide details about project results. Please focus on the content of the results, for example discoveries and theories, products, services, methods etc. Publications, intellectual property rights, datasets, software, algorithms, protocols etc. will be linked to these results later in dedicated sections. It will also be possible to add these to the project as a whole.

Examples:

- Example: The project developed a new medical device, which is described in two publications and later patented. Instructions: List the medical device here (as 'PROD: Product') and link publications to this product in dedicated sections. When you have information about the patent application, link it in a dedicated section.
- Example: The project developed a new scientific theory which is described in several publications. Instructions: List the name and potential of the theory here (as 'SCI: Scientific discovery, model, theory') and link publications to this model later in dedicated sections.
- Example: The project develops a high potential industrial process and is currently at the stage of prototyping. Instructions: List the industrial process here (as 'PROC: Industrial process') and indicate the prototyping stage under 'Steps undertaken towards exploitation'. If there is a registered prototype, link the registered prototype in a dedicated section.
- Example: The project mainly focused on activities such as conferences, staff exchanges, or on investments in infrastructures. Instructions: List these as results and their potential here.

Results

No results yet

[+ Add Result](#)

Remarks

Dissemination and exploitation effort shall be continued up to 4 years after the end of the project. If despite a beneficiary's best efforts to exploit its results directly or indirectly no exploitation takes place within a given period as identified in the grant agreement, the beneficiary shall use the Horizon Results Platform to find interested parties to exploit those results. If the beneficiary decides not to use the Horizon Results Platform within 1 year from the end of the project, then an updated dissemination and exploitation plan shall be submitted describing the activities that the beneficiary will undertake towards exploitation up to 4 years after the end of the project. If justified on the basis of a request of the beneficiary, this obligation may be waived.

Validate

Results (2/2)

The screenshot shows the 'Add Result' dialog box in the Horizon Results Platform. The dialog box is titled 'Add Result' and contains the following fields and options:

- Name:** A text input field.
- Result type:** A dropdown menu.
- Key results (KER) (does result have a high potential?):** A list of checkboxes:
 - High scientific potential
 - High societal potential (other than climate or environmental)
 - High societal potential
 - High technologic, business or economic potential
 - High policy or regulatory potential
 - N/A

At the bottom of the dialog box, there are 'Save' and 'Cancel' buttons.

The background page is the 'Results' section of the 'Grant Management' interface. It features a 'Project Summary' tab with a red 'X' icon, a 'Results' tab with a green checkmark icon, and a 'SAVE' button. The main content area is titled 'Results' and contains the following text:

There is no result for this project yet

Please provide details about project results. Please focus on the most significant results. It will also be possible to add these to the project's results later in dedicated sections.

Examples:

- Example: The project developed a new medical device, which is described in two publications and later patented. Instructions: List the medical device here (as 'PROD: Product') and link publications to this product in dedicated sections. When you have information about the patent application, link it in a dedicated section.
- Example: The project developed a new scientific theory which is described in several publications. Instructions: List the name and potential of the theory here (as 'SCI: Scientific discovery, model, theory') and link publications to this model later in dedicated sections.
- Example: The project develops a high potential industrial process and is currently at the stage of prototyping. Instructions: List the industrial process here (as 'PROC: Industrial process') and indicate the prototyping stage under 'Steps undertaken towards exploitation'. If there is a registered prototype, link the registered prototype in a dedicated section.
- Example: The project mainly focused on activities such as conferences, staff exchanges, or on investments in infrastructures. Instructions: List these as results and their potential here.

Results

No results yet

[Add Result](#)

Remarks

Dissemination and exploitation effort shall be continued up to 4 years after the end of the project. If despite a beneficiary's best efforts to exploit its results directly or indirectly no exploitation takes place within a given period as identified in the grant agreement, the beneficiary shall use the Horizon Results Platform to find interested parties to exploit those results. If the beneficiary decides not to use the Horizon Results Platform within 1 year from the end of the project, then an updated dissemination and exploitation plan shall be submitted describing the activities that the beneficiary will undertake towards exploitation up to 4 years after the end of the project. If justified on the basis of a request of the beneficiary, this obligation may be waived.

Validate

H2020 periodic reporting overview and the changes in HE periodic reporting

Current changes in HE periodic reporting

Periodic Reporting in HE

Technical and Financial report

21.2 Periodic reporting: Technical reports and financial statements

In addition, the beneficiaries must provide reports to request payments, in accordance with the schedule and modalities set out in the Data Sheet (see Point 4.2):

- for additional prefinancements (if any): an **additional prefinancing report**
- for interim payments (if any) and the final payment: a **periodic report**.

→ e.g. Erasmus

The prefinancing and periodic reports include a technical and financial part.

The technical part includes an overview of the action implementation. It must be prepared using the template available in the Portal Periodic Reporting tool.

The financial part of the additional prefinancing report includes a statement on the use of the previous prefinancing payment.

The financial part of the periodic report includes:

- the financial statements (individual and consolidated; for all beneficiaries/affiliated entities)
- the explanation on the use of resources (or detailed cost reporting table, if required)
- the certificates on the financial statements (CFS) (if required; see Article 24.2 and Data Sheet, Point 4.3).

Reporting: Results Ownership List new

New in Horizon Europe periodic reporting

Table 3.2 Results ownership List						
Single or Joint ownership of result Indicate the number of owners	Result owner(s)	Owner country of establishment	Will the owner(s) exploit the result?	If relevant, in which form will the result be made available to other consortium member(s) and/or third party(ies)	Does the exploitation of the results require access to background of one or several consortium members? (*) If yes a compulsory question opens below	Does the exploitation of the results require access to third party IPR? ** If yes a compulsory question opens below
[1,2....]	<p>[Entity or Individual]</p> <p>Entity: Drop down option with project partners + 'Other'. 'Other' opens a field asking for name, address, country, and an identifier such as VAT number.</p> <p>Individual: Drop down option with 'researchers in project (pre-filled)' + 'Other'. 'Other' opens a field asking for name, address, country, and an identifier like ORCID, Researcher Id.</p>	Pre-field for project partners	Yes/No	Choose an item.	Yes/No	Yes/no/not known

New obligation under HE, it was identified as being an obstacle for the uptake of research results

Dissemination and Exploitation – post project

New in Horizon Europe reporting

Obligation of beneficiaries to exploit their R&I results

- In Horizon Europe, as in H2020, the obligation to exploit remains and is a responsibility of the beneficiaries on a “best effort” approach
- When specified in the WP additional exploitation obligations could be applied
- Horizon Europe encourages the use of the R&I results through third party exploitation (where appropriate)
- If despite the best effort for exploitation no uptake happens within a specific period after the end of the project (1 year), then the project must use the Horizon Results Platform to make exploitable results visible (unless obligation is waived)
- The Horizon Results Platform is free, is part of the F&T portal, available to all beneficiaries and is based on results, not on projects.

Follow up on R&I results after the end of the project

- The first year after the end of the project, and if no exploitation takes place, beneficiaries must use the Horizon Results Platform for making their exploitable results visible.
- For the following period there will probably be a structured questionnaire available to beneficiaries to report on the progress, their needs and obstacles on their path for exploitation.
- This questionnaire could be part of the EC grant management system and will remain open until the conclusion of the follow up period after the end of the project where a final report will be created.

H2020 periodic reporting overview

Periodic Report in H2020

Obligations

Periodic report

- Periodic technical report
- Periodic financial report
- Must be submitted **within 60 days** following the end of each reporting period
- Obligation as of the Grant Agreement: Article 20 of the H2020 Grant Agreement

Periodic report for the last reporting period

- Final technical report – summary for publication
- Final financial report - CFSs



Periodic reporting module in H2020

Functionalities

Beneficiaries complete on-line their financial statements including the explanations on the use of resources.

Coordinator uploads the Part B of the periodic technical report (narrative part).

The screenshot displays the 'RESEARCH & INNOVATION Grant Management Services' interface. On the left, a sidebar shows project details for 'HORIZON 2020' (Call: H2020-SwafS-2018-1, Number: 824544, Start Date: 01 Jan 2019). The main area is divided into two reporting sections:

- Continuous Reporting (824544):** Shows a timeline starting on 01 Jan and reaching 'Completed'. It includes a 'Continuous reporting data' task.
- Periodic Reporting (REP-119261-1 - period):** This section is highlighted with a red box. It shows a timeline with stages: 'Draft' (14 Mar), 'Submitted' (15 Sep, 2068/60 days), and 'Paid'. Below the timeline, tasks are listed: 'Technical Part contribution' (Lock for review), 'Financial Statement AST [PIC] drafting' (Lock for review), and 'Periodic Report composition' (Submit to EU).

At the bottom, there is a 'H2020 ONLINE MANUAL' link and an 'it HOW TO' button. The footer indicates '© European Communities - Version 1.24'.

Periodic Report in H2020

Components

Part A

- Information entered in the IT tool through the Continuous Reporting module
- Publishable summary (max 2-4 pages) – use layman's terms
- Deliverables, milestones, risks, etc.
- Answers to the questionnaire...etc.

Part B

- Explanation of the work carried out by the beneficiaries and overview of progress
- Update of the plan for exploitation and dissemination of results
- Explanations on deviations from DoA
- Impact of the action...etc.

Financial statements

- Individual financial statements
- Explanations on the use of resources and the information on subcontracting and in-kind contributions provided by third parties
- Certificates if necessary at the end of the project



Periodic Report in H2020

Financial Part

RESEARCH & INNOVATION
European Commission
Grant Management Services

Help

MY PROJECT
HORIZON 2020

Call: H2020-EE-2015-3-MarketUptake
Type of Action: CSA
Acronym: START2ACT
Current Phase: Grant Management
Number: 696069
Duration: 42 months
GA based on the: H2020 General MGA — Multi - 2.null

Launch new interaction with the EU +

Periodic Reporting
REP-696069-2 - period
01/09/2017 > 31/08/2019

01 Sep 2019
Draft

31 Oct 2019
(10/60 days)
Submitted

Paid

- + Technical Part contribution
- + **Financial Part GEO [PIC 999745536] drafting** (highlighted with red dashed box)
- + Periodic Report composition

Lock for review

Lock for review

i

Periodic Report in H2020

Financial Part

Grant Management Project Periodic Report

THE FRAMEWORK PROGRAMME FOR RESEARCH AND INNOVATION HORIZON 2020

Financial Statement

Financial Statement SAVE

[Use of Resources](#) [Export Use of Resources to PDF](#)

Financial information from contract

No contribution requested? Yes No

Financial Statements

Period	Adjustment	Requested Contribution
01 Jan 2021 - 31 Mar 2022 (Period No. 1)	No	0.00 €

Financial Statement for period '1' - (01 Jan 2021 - 31 Mar 2022)

Eligible costs:

Cost Category	Unit Cost	Number of Units	Subtotal	Total	Actions
a) Direct personnel costs declared as actual costs				0.00 €	
b) Direct personnel costs declared as unit costs (average costs)				0.00 €	
▼ c) Direct personnel costs declared as unit costs				0.00 €	
c1) SME owner / Natural person costs	26.42 €	x 0.00 =	0.00 €		
d) Direct costs of subcontracting				0.00 €	
e) Direct costs of providing financial support to third parties				0.00 €	
f) Other direct costs				0.00 €	
h) Costs of internally invoiced goods and services				0.00 €	
i) Indirect costs (= 0.25 * (a + b + c + f + h + i))				0.00 €	
k) Total costs (= a + b + c + d + e + f + h + i)				0.00 €	
n) Maximum EU contribution (= 100% * k)				0.00 €	
o) Requested EU contribution				0.00 €	

Additional Information for indirect costs:

Use of 'costs of in-kind contributions not used on premises'? (p) Yes No

Validate

Financial Report in H2020

Person Months spent

Direct personnel costs declared as actual costs □

Persons/month per WP [+ Add Detail](#)

No.	Person Months	Associated Work Package	Actions
1	<input type="text" value="3.00"/>	+ WP1	×
2	<input type="text" value="5.00"/>	+ WP4	×
3	<input type="text" value="4.50"/>	+ WP6	×

Use of in kind contribution from third party [+ Add Detail](#)

There are no Use of Resources provided

Financial Report in H2020













Other direct costs

Other direct costs

Other direct costs (only for actual costs; unit costs are excluded): explanation of major cost items if the amount exceeds 15% of personnel costs. Please give details of major cost items up to the level that the remaining costs are below 15% of personnel costs, starting from the cost items of highest value in terms of cost amount.

It is recommended to specify the Personnel Costs and total amount of Other Direct Costs before filling in the Use of Resources

[+ Add Detail](#)

No.	Costs	Short Description	Category	Associated Work P.	Foreseen in Annex	Explanations (if not for)	Actions
1	9,670.44 €	 Project Meetings	Travel	 WP1	Yes		
2	2,550.33 €	 Dissemination material	Other goods	 WP6	Yes		
3	14,689.22 €	 TLS Hardware and Software	Equipment	 WP4	Yes		
TOTAL	26,909.99 €						

Use of in kind contribution from third party

[+ Add Detail](#)

There are no Use of Resources provided

 [Ok](#)  [Cancel](#)

Adjustment in H2020

Financial Statement

Grant Management
Project Periodic Report


917294 (917294 TEST [ABAC: CSA

HORIZON 2020

Period No: 2 Duration (months): 18
Reporting Period : [17 Jul 2011 - 16 Jan 2013]

Beneficiary 1: AST
Legal Name: AST ADVANCED SPACE TECHNOLOGIES GMBH
PIC: 973276467 Status: VALIDATED
Legal Address: ZEPPELINSTRASSE 9B , 28816 , STUHR
Germany

Financial Statement



Financial Statement SAVE

Use of Resources

No contribution requested?

Certificate on the Financial Statements

Financial Statements

17 Jul 2011 - 16 Jan 2013 (Period No. 2)

Create Adjustment Financial Statement

Reporting Period

Period (1) From: 17/02/2010 To: 17/07/2011

Contribution

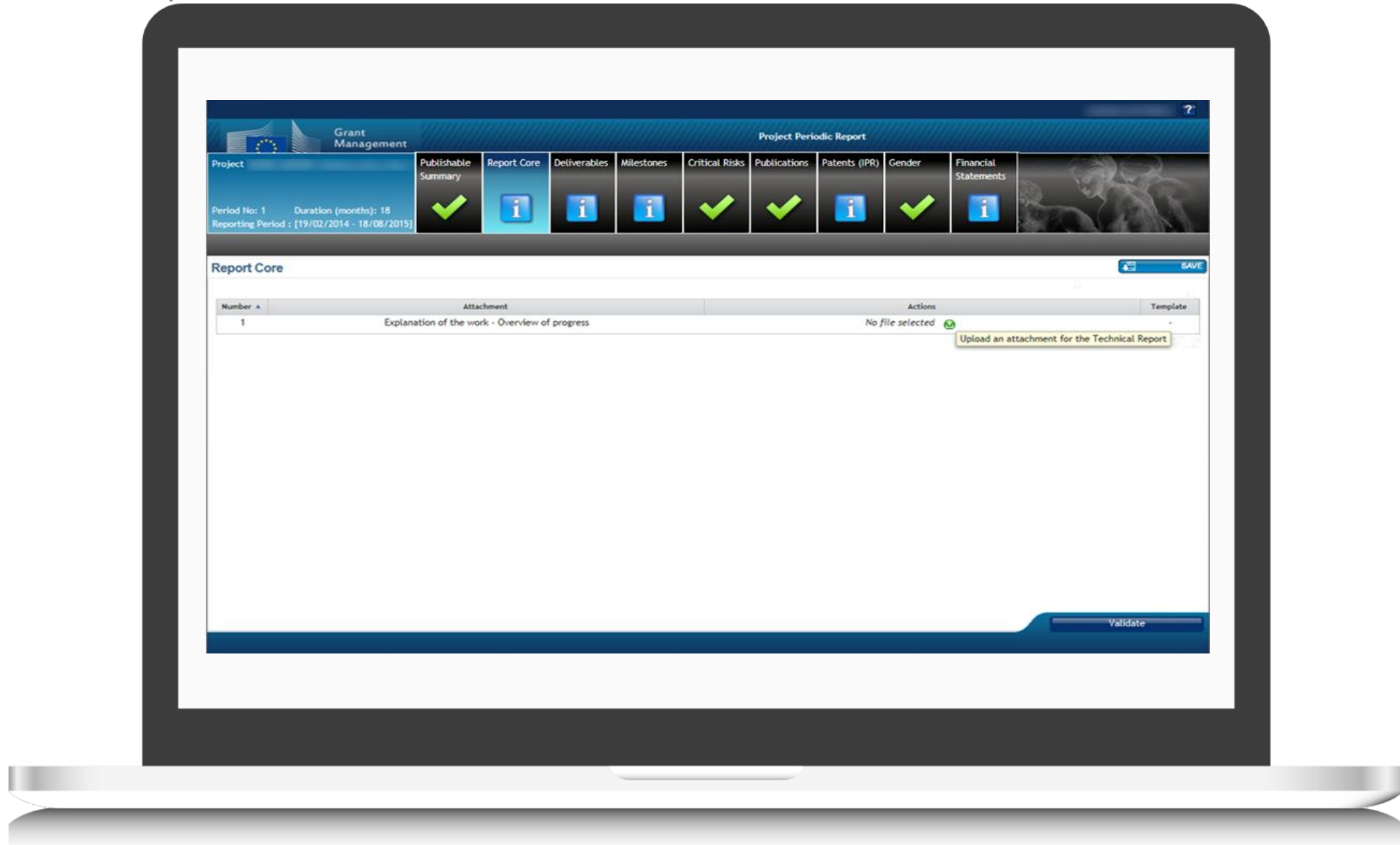
€

+ Add Adjustment

Actions

Periodic reporting module in H2020

Technical Report



Technical Report in H2020

Template



Project¹ Number: [insert project reference number]

Project Acronym: [insert acronym]

Project title: [insert project title]

Periodic Technical Report

Part B

Period covered by the report: from [insert dd/mm/yyyy] to [insert dd/mm/yyyy]

Periodic report: [1st] [2nd] [3rd] [4th]

¹ The term "project" used in this template equates to an "action" in certain other Horizon 2020 documentation

TABLE OF CONTENT

TABLE OF CONTENT	3
1. Explanation of the work carried out by the beneficiaries and overview of the progress.....	5
1.1 Objectives	6
1.2 Explanation of the work carried per WP.....	15
Work package 1: Management and Coordination.....	15
Work package 2: Ecosystem	20
Work Package 3: Access to Networks and Finance.....	21
Work Package 4: Access to Talents	40
Work Package 5: Capacity Building	46
Work package 6: Synergies and Exploitation.....	55
Work package 7: Dissemination and Communication.....	75
1.3 Impact	100
2. Update of the plan for exploitation and dissemination of result	111
3. Update of the data management plan	111
4. Follow-up of recommendations and comments from the previous review.....	111
5. Deviations from Annex 1 and Annex 2	112
5.1 Tasks	112
5.2 Use of resources.....	112
5.2.1 Unforeseen subcontracting	118
5.2.2 Unforeseen use of in-kind contribution from the third party against payment or free of charges	118
Annexes	119



How to report deviations?

Section 5. Deviations from Annex 1

5.1 Tasks

- Include explanations for tasks not fully implemented, critical objectives not fully achieved and/or not being on schedule. Explain also the impact on other tasks on the available resources and the planning.

5.2 Use of resources

- Include explanations on deviations of the use of resources between actual and planned use of resources in Annex 1, especially related to person-months per work package.

5.2.1 Unforeseen subcontracting (if applicable)

- Specify in this section:
 - The work (the tasks) performed by a subcontractor which may cover only a limited part of the project;
 - Explanation of the circumstances which caused the need for a subcontract, taking into account the specific characteristics of the project;
 - The confirmation that the subcontractor has been selected ensuring the best value for money or, if appropriate, the lowest price and avoiding any conflict of interests.

5.2.2 Unforeseen use of in-kind contribution from third party against payment or free of charges (if applicable)

- Specify in this section:
 - The identity of the third party;
 - The resources made available by the third party respectively against payment or free of charges
 - Explanation of the circumstances which caused the need for using these resources for carrying out the work.



How to report deviations?

Examples

Tasks related deviations:

- Change in the schedule of the action
- Additional activities
- Attending at event which was not foreseen in the DoA...

Budget related deviations:

- Budget transfer between beneficiaries
- Underestimating PM
- Overspending

5. Deviations from Annex 1 and Annex 2

5.1 Tasks

The work plan detailed in the Description of the Action (DoA) remained valid and no critical deviations have been encountered during the 2nd period of . All deliverables were submitted, all milestones were achieved as planned and the Project Officer (PO) was informed in advance of any deviations in submitting from the initial schedule, in particular, the deliverables related to WP4 and WP5.

5.2 Use of resources

has implemented a cost-monitoring system (Internal Financial Reporting) to keep costs under control and in consistency with the achieved results. The overall spending of the project is in line with the work implemented and with the budget foreseen in the Description of Action (DoA). All costs reported by the beneficiaries were carefully checked, needed and justified to achieve the project's objectives.

In particular, the table below provides an overview of the actual effort (person-months) spent by each project partner during the 2nd period of in comparison to the efforts included in the DoA of the project.

- **Beneficiary 3:**
was responsible for Task 4.3 Finding value in university R&D- for creating an entrepreneurial -academic connected ecosystem. spent additional efforts than expected in WP4 (+0.53PM) to gather, harmonize and analyse data from Universities for the Technology Transfer model. Please note that Bar Ilan University requested less EC contribution in order to remain within it foreseen budget.
Adjustment to RP1: Following the internal revision of the RP1 financial reports submitted an Adjustment to RP1 to correct the travel costs.
- **Beneficiary 4:**
as the leader of WP3 increased its efforts (+1,6PM - Adjustment to RP1 and RP2) in maximizing the benefits of the startups attended at Startup Ole, by providing matchmaking to our entrepreneurs. The additional effort did not result significant overspending of the foreseen budget.
Adjustment to RP1: Following the internal revision of the RP1 financial reports submitted an Adjustment to RP1 to correct the other direct costs.
- **Beneficiary 8:**
spent additional efforts in WP3 (+0.05 PM) with the preparation of the Access2Finance workshop in Bucharest within the iCEE fest. Additional efforts were required in order to harmonize the agenda of the A2F workshop with the overall programme of the iCEE fest. The additional effort spent resulted with minor overspending in terms of financial resources.
- **Beneficiary 9:**
spent additional efforts in WP1 (+0.49 PM) related to the increase of administrative efforts within the organisation for arraigning the logistics for the travel of their startups to the MY-GATEWAY events. In addition, reported additional efforts in WP6 (+0.86 PM) due to their increased involvement in the Exploitation plan of the project, specifically defining the strategy for the Startup Europe Networks. Lastly, additional efforts were spent in WP7 (+1.24 PM) for the promotion of the final event, in order to increase the participation of the Slovenian startups at the event. In this respect, it is important to note that the additional effort spent to this end did not result in any overspending in terms of financial resources.
- **Beneficiary 10:**
Adjustment to RP1: The adjustment to RP1 of the beneficiary was done after the thorough check of declared cost of the project. Findings are related to the Direct Personnel Cost and Other Direct Cost. Considering Direct Personnel Cost it was found out, that some components of the basic remuneration of personnel working on the project was mistakenly accounted (i.e. not considering the portion of working time spent on the project and other activities of an employee). This finding led to

**IMPACT OF THE DEVIATION
APPROVAL OF THE PROJECT OFFICER**

Submission

of the Periodic Report in H2020

RESEARCH & INNOVATION
Grant Management Services

MY PROJECT
HORIZON 2020

Call: H2020-EE-2015-3-MarketUptake
Type of Action: CSA
Acronym:
Current Phase: Grant Management
Number: 696069
Duration: 42 months
GA based on the: H2020 General MGA - Multi - 2.null

Periodic Reporting
REP-696069-2 - period
01/09/2017 > 31/08/2019

01 Sep 2019 (Draft) | 31 Oct 2019 (10/60 days) (Submitted) | Paid

Launch new interaction with the EU +

- Technical Part contribution
- Financial Part GEO [PIC 999745536] drafting
- Periodic Report composition

Lock for review

Lock for review

RESEARCH & INNOVATION

























Periodic Report Composition [Period No: 1 (01 Jan 2018 - 31 Dec 2018), Project No: 780758]

Report Item	Status	Action
Technical report		
Technical Part contribution	Draft	
Financial report		
1 (0/1) Financial Part	Draft	
2 (0/1) Financial Statement	Sent to CO	Redo Include
3 (0/1) Financial Part	Draft	
4 (0/1) Financial Part	Draft	
5 (0/1) Financial Part	Draft	
6 (0/1) Financial Part	Draft	
7 (0/1) Financial Statement	Locked for Review	
8 (0/1) Financial Part	Draft	
9 (0/1) Financial Statement	Sent to CO	Redo Include
10 (0/1) Financial Part	Draft	
11 (0/1) Financial Statement	Sent to CO	Redo Include

Submission

of the Periodic Report in H2020

Periodic Report Composition [Period No: 1 (01 Jan 2018 - 31 Dec 2018), Project No: 780758]

Report Item	Status	Action
▼ Technical report		
  Technical Part of Periodic Report	Locked for Review	
▼ Financial report		
1 (0/1)   Financial Statement	Included	Redo
2 (0/1)   Financial Statement	Sent to CO	Redo Include
3 (0/1)   Financial Statement	Included	Redo
4 (0/1)   Financial Statement	Included	Redo
5 (0/1)   Financial Statement	Sent to CO	Redo Include
6 (0/1)   Financial Statement	Sent to CO	Redo Include
7 (0/1)   Financial Statement	Sent to CO	Redo Include
8 (0/1)   Financial Statement	Sent to CO	Redo Include
9 (0/1)   Financial Statement	Sent to CO	Redo Include
10 (0/1)   Financial Statement	Sent to CO	Redo Include
11 (0/1)   Financial Statement	Sent to CO	Redo Include

Submission

of the Periodic Report in H2020

Please confirm

The beneficiary hereby confirms that:

- The information provided is complete, reliable and true.
- The costs declared are eligible (see Article 6).
- The costs can be substantiated by adequate records and supporting documentation that will be produced upon request or in the context of checks, reviews, audits and investigations (see Articles 17, 18 and 22).
- For the last reporting period: that all the receipts have been declared (see Article 5.3.3).

Ok Cancel

RESEARCH & INNOVATION
Participant Portal - Grant Management Services

MY PROJECT
HORIZON 2020

Call: H2020-ICT-2017-1
Type of Action: IA
Acronym:
Current Phase: Grant Management
Number: 780758
Duration: 24 months
GA based on the: H2020 General MGA — Multi - 4.0
Start Date: 01 Jan 2018
Estimated Project Cost: €1,571,550.00
Requested EU Contribution: €1,498,771.25
Contact:

Latest Legal Data
Active Processes
Document Library
Communication Centre
Archived Processes

H2020 ONLINE MANUAL
HOW TO

Project Monitoring for Consortium
PMOC-780758-1
22 Jan 2019
Started Informed Assessed Completed

Periodic Reporting
REP-780758-1 - period 01/01/2018 > 31/12/2018
01 Jan 2019 02 Mar 2019 (35/60 days)
Draft Submitted Paid

Continuous Reporting
780758 - MY-GATEWAY
01 Jan 2018
Started Completed

Submit to EU

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EU assessment

of the Periodic Report and the Payment in H2020

Suspension of the payment deadline letter

- You have to (re)submit the updated reports/requested information via your Participant Portal account – within 30 days /15 days (last period) from receiving this letter.
- Suspension will be lifted (and the remaining payment period will continue to run again) once all issues have been resolved.

Interim payment information letter / Payment of the Balance Letter to Coordinator

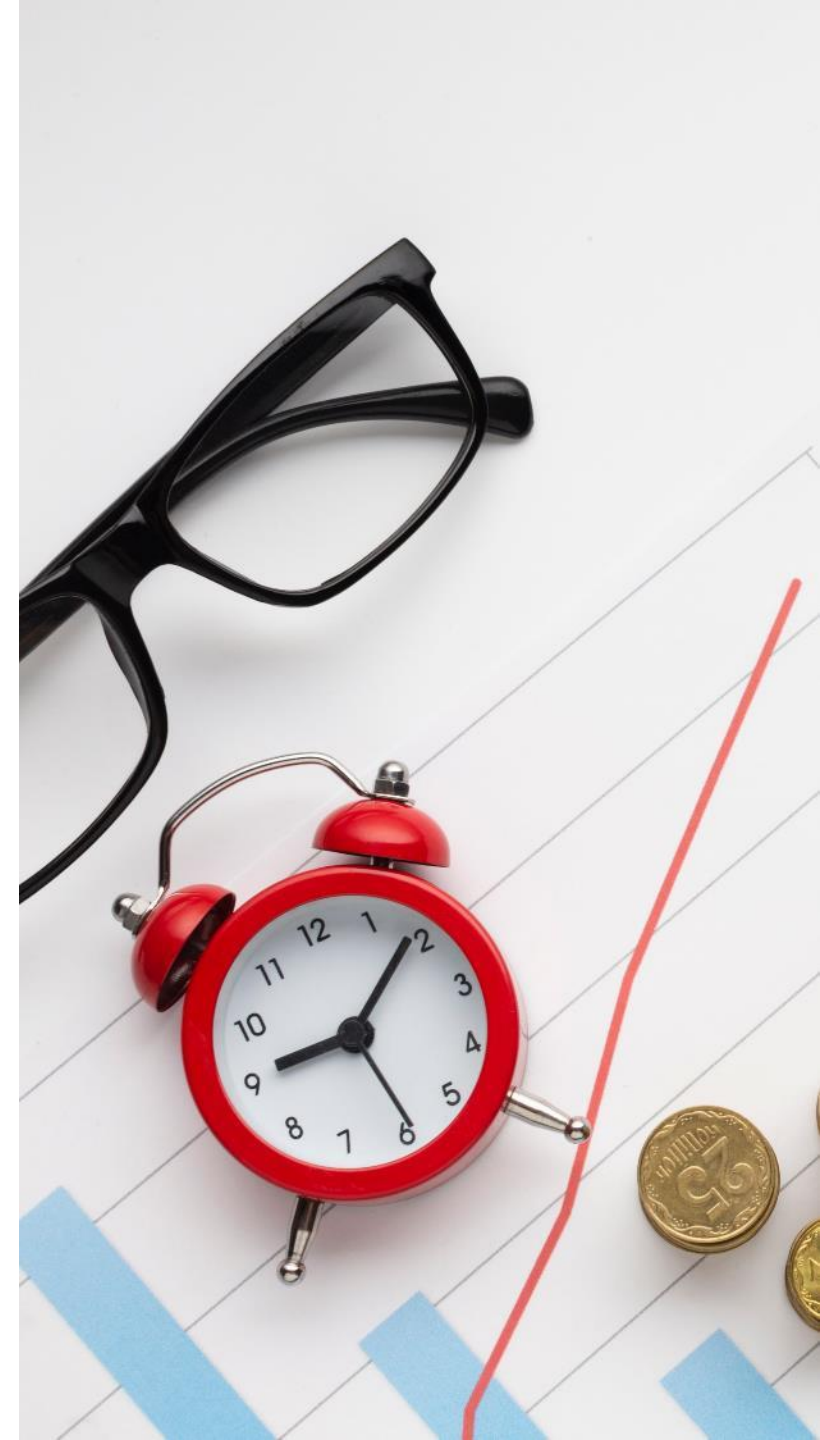
- The coordinator will be notified of the end of the payment process and will receive a payment letter and the supporting reports with the details of any cost rejected and the reasons for rejection.




Final Report

Technical Report in H2020

- For the final reporting period, the coordinator must submit, in addition to the periodic report, the final report **within 60 days of the end of the final reporting period.**
- The final report **covers the whole project** and is composed of a final technical and a final financial part:
- **Final technical report** is a publishable summary of the entire project
 - Summary of the context and overall objectives of the project (For the final period, include the conclusions of the action)
 - Work performed from the beginning of the project to the end of the period covered by the report and main results achieved so far (For the final period include an overview of the results and their exploitation and dissemination)
 - Progress beyond the state of the art and expected potential impact (including the socio-economic impact and the wider societal implications of the project)
 - Project logos, diagrams, photographs and videos illustrating its work (if available).




npopetib (EXTERNAL)
Grant Management
Project Periodic Report



158072 (158072 teaTEST ...) CSA
Period No: 2 Duration (months): 18
Reporting Period : [13 Sep 2017 - 12 Mar 2019]

Beneficiary 1: AST
Legal Name: AST ADVANCED SPACE TECHNOLOGIES GMBH
PIC: 973276467 Status: VALIDATED
Legal Address: ZEPPELINSTRASSE 9C <script>alert ('Cougou');</script> , 28816 , STUHR Germany

Financial Statement



Financial Statement

Financial information from contract

[Use of Resources](#)
[Export Use of Resources to PDF](#)

No contribution requested? Yes No

Financial Certificate

Certificate on the Financial Statements Yes No [Upload](#)

Name of the Auditor Cost of Certificate

Financial Statements

Period	Adjustment	Requested Contribution	Actions
13 Sep 2017 - 12 Mar 2019 (Period No. 2)	No	50.00 €	Add Adjustment

Financial Statement for period '2' - (13 Sep 2017 - 12 Mar 2019)

Eligible costs: ⚠ The amount of Total Contribution requested entails that a Certificate of Financial Statements must be provided.

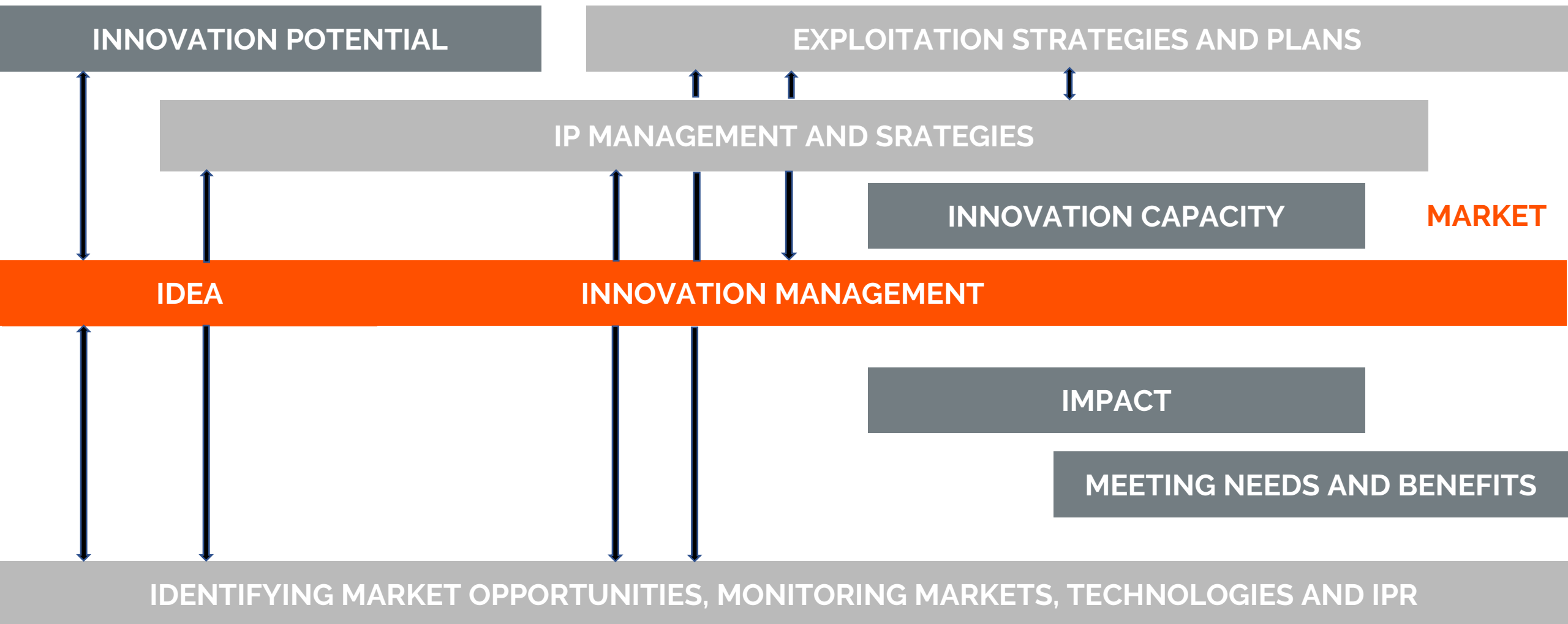
Cost Category	Unit Cost	Number of Units	Subtotal	Total	Actions
a) Direct personnel costs declared as actual costs				40.00 €	<input type="text"/>
b) Direct personnel costs declared as unit costs (average costs)				0.00 €	<input type="text"/>
c) Direct personnel costs declared as unit costs				0.00 €	<input type="text"/>
c1) SME owner/Natural person costs	1.06 € x	0.00 € =		0.00 €	
d) Direct costs of subcontracting				0.00 €	
e) Direct costs of providing financial support to third parties				0.00 €	
f) Other direct costs				0.00 €	<input type="text"/>
h) Costs of internally invoiced goods and services				0.00 €	
i) Indirect costs (= 0.25 * (a + b + c + f + h - p))				10.00 €	<input type="text"/>
k) Total costs (= a + b + c + d + e + f + h + i)				50.00 €	<input type="text"/>
l) Receipts				0.00 €	
n) Maximum EU contribution (= 100% * k)				50.00 €	
o) Requested EU contribution				50.00 €	<input type="text"/>

Additional information for indirect costs:
 Use of 'costs of in-kind contributions not used on premises?' Yes No

Validate

Exploitation and innovation management processes

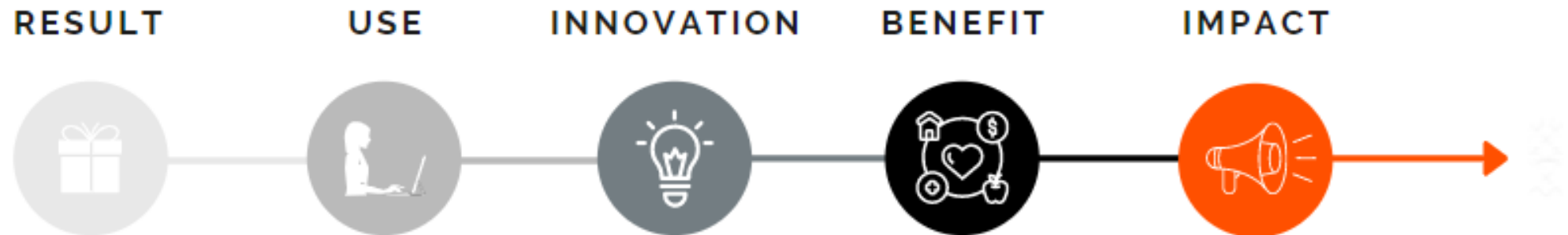
Innovation management



Exploitation and innovation

From idea to market

- Focus on impact and innovation > producing research results
- Consortium must plan to use the results to make innovations
- Innovations need to address the call challenges and contribute to the related impact
- Innovation and impact must be managed in all stages of the project based on how it was addressed in the proposal



Dissemination and exploitation

What's new in Horizon Europe?



IP Management

TO DO

- **Monitor** the development of the results
- **Capture** the results generated by the project
- **Ownership** regimes
- **Assess and evaluate** the IP
- **IP protection**
- **Market and competitor** watch and analysis
- **Exploitation:** get the results used
- **Dissemination:** talk about the results



Capture the results

How to...

IP Note

- Whenever a new result is developed, the author will have to fill out an IP Note and send it to the coordinator
- Will support the body/partner in charge (e.g. Steering Committee, Exploitation Manager) to decide on the need for protection

Invention Disclosure Form

- Description of the invention including the list of research sponsors and any supporting document/background
- (!) this document is confidential

Review deliverables and reports

One-to-one sessions with beneficiaries

IP Note Template

RESULT - INTELLECTUAL PROPERTY NOTIFICATION (IP NOTE)		
Notified by:	Date:	Work Package
Title:		
Summary of the result achieved:		
Partners who developed the result and % of input (if relevant): <i>e.g. based on number of hours/PMs spent on the deliverable</i>		
Exploitation potential – IPR issues linked:		
Received by:	Date:	
Reviewed by the Coordinator and the Project Management Board - Conclusions:		
Action to be taken:		
Result:		

CONFIDENTIAL				
Invention Disclosure Form				
Office				
TITLE OF INVENTION				
INVENTOR(S)				
I(s) that she/ he is the author(s) of the invention fully described below, and despite there are no other persons with a claim to co-authorship. (Inventors: partial contribution to the invention)				
	2	3	4	
Date				
FUNDING OF THE INVENTION				
Project :				
Other:				
PERSONAL DETAILS OF THE INVENTOR(S)				
	1	2	3	4
Name				
Phone number				
E-mail				
Employer				

Assess and evaluate the IP

Technology and market assessment

- **Assessment of foreground IP**
 - Prior search for patentability
 - Search in patents (Espacenet; European Patent Register - Alert)
 - Search for trademarks and design (EUIPO eSearch Plus)
 - Search in standards (CEN-CENELEC)
- **Market analysis**
 - Technical reports
 - Company websites, annual reports (incl. financial)
 - Market reports
 - Industry partners
- **Competitor/technology analysis**
 - Market size, segmentation, growth potential
 - Market share, competitors' current and future plans
 - Other technical solutions
 - Potential barriers and obstacles



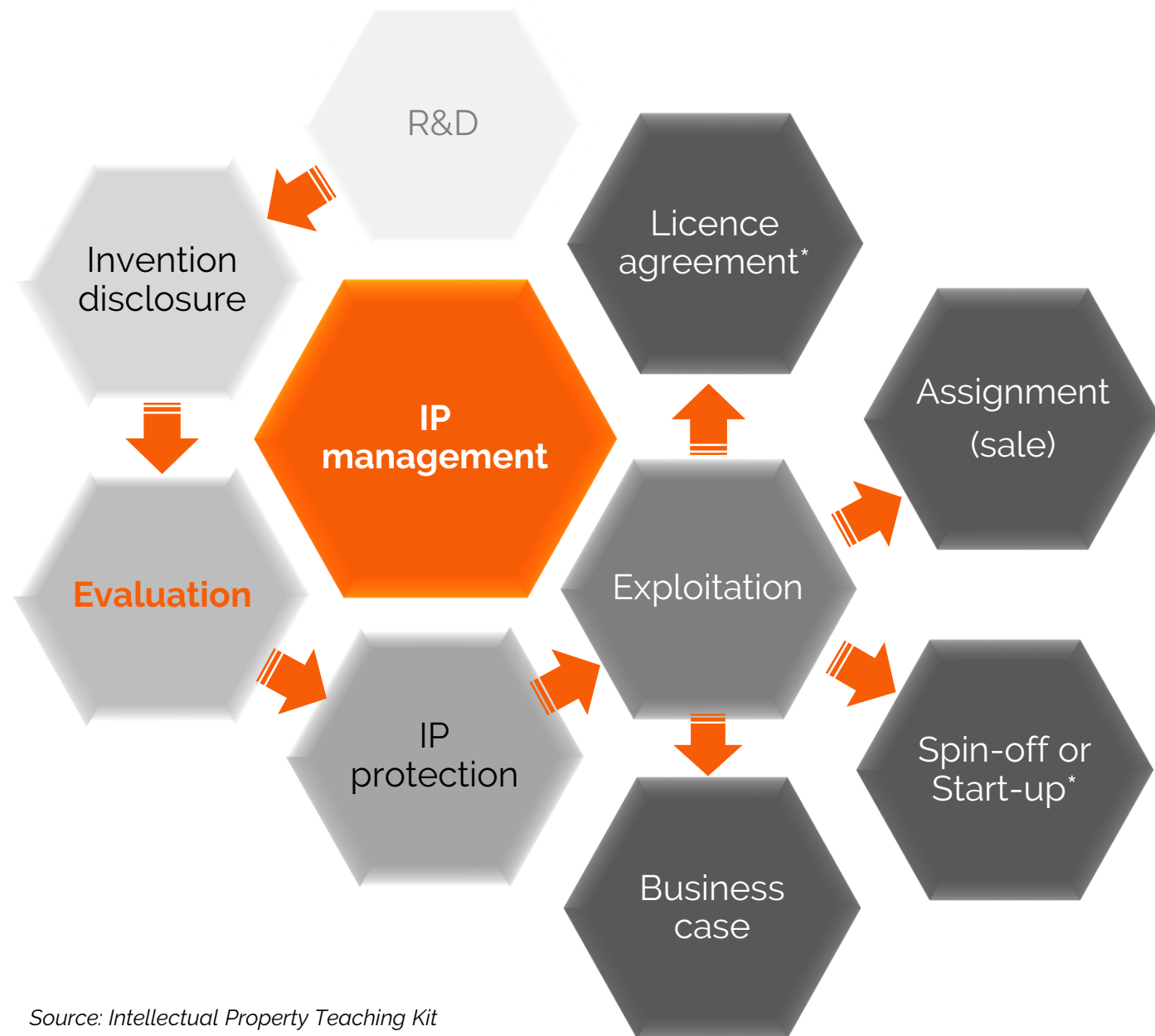
IP Protection

One product, many IP protection routes

IP protection	Product type
Patent	Any invention, product or process that offers a new way of doing something or provides a new solution to a problem
Utility model	Minor inventions or minor improvements of existing products
Design	Literary and artistic works: music, books, paintings, computer programmes, databases, etc. Ornamental or aesthetic aspects of a product
Trademark	Any sign capable of distinguishing your goods or services from your competitors'
Copyright	Literary and artistic works: music, books, paintings, computer programmes, databases, etc.
Trade secret	Any information that is not generally known, confers a competitive edge and is subject to reasonable efforts to maintain its secrecy

Exploitation routes

- Decide on **how to commercialise your IP**:
 - By its IP owner
 - Through assignments
 - By business partners (e.g. licencing, Joint venture and spin-offs)
- Update the **Plan for the Exploitation and Dissemination of Results**
- Include into the Final Plan for the Exploitation and Dissemination of Results / Business Plan
- **Report the exploitation activities to the EC** – see above in the Continuous Reporting section.



Joint Ownership Agreement

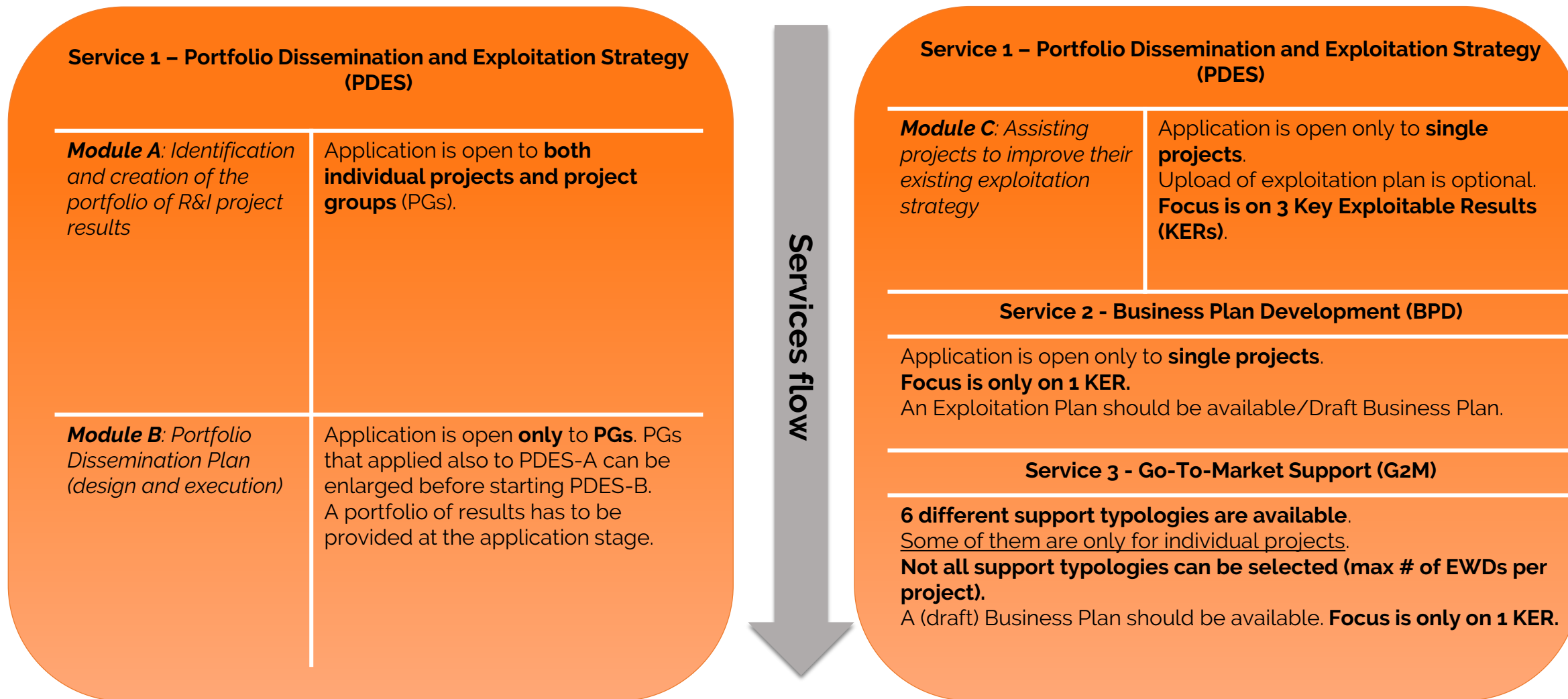
- Signed **between co-owners** of IP
- Ideally during CA preparation or as soon as joint IP is detected
- In order to define in detail the rules governing joint ownership
- Particulars
 - Shares: assignment of shares within the joint ownership
 - IP management: responsibilities for filing and maintaining (including the costs incurred) of the IP rights
 - Protection of rights: obligation imposed on all participants to monitor and report any infringements of the foreground; indication of the partner empowered to conduct legal actions for protection of the foreground
 - Conditions of the use of the foreground: Use in further research; Individual exploitation; Licensing; Transfer
 - Additional clauses: standard contractual matters, i.e. applicable law, jurisdiction or alternative dispute resolution systems



EC tools to boost your exploitation efforts

Horizon Results Booster

D&E – Business planning – Go to Market



Horizon IP Scan

Helping SMEs valorise IP in R&I projects

- = launched in 2021, a new (pilot) service of the EIC and SME Executive Agency (EISMEA). The service supports European startups and other SMEs involved in EU-funded collaborative research projects to efficiently manage and valorise IP in collaborative R&I efforts
- Builds on an EU-wide network of IP experts providing tailored support and recommendations
- It will be delivered either by a private IP professional (patent or IP attorney) or otherwise qualified IP experts, such as specifically trained staff from a national IP office or an innovation agency
- Three major steps:
 - a preparation phase including a pre-interview
 - a main interview, which is done in an in-person or online meeting
 - provision of an individual report and recommendations
- Who can apply?
 - European start-ups and SMEs that are about to sign a Horizon (2020/Europe) GA or have recently signed one (up to 6 months after signature)
 - SMEs signposted by the Horizon Results Booster (maximum until half of the project duration)
 - SMEs that are referred to Horizon IP Scan by the Enterprise Europe Network in view of a planned cooperation with other entities on a R&I project, up to 6 months after the beginning of a project, but preferably before any agreement is signed

European IP Helpdesk

Capacity building & help in managing your IP

- The European IP Helpdesk supports European SMEs and research teams involved in cross-border business and/or EU-funded research activities manage, disseminate and valorise their IP
- Offering a broad range of informative material, a Helpline service for direct IP support as well as on-site and online training, our main goal is to support IP capacity building along the full scale of IP practices: from awareness to strategic use and successful exploitation
- Are you active in other regions such as India, China, Latin America or South- East Asia? Visit the regional IP Helpdesks: https://intellectual-property-helpdesk.ec.europa.eu/regional-helpdesks_en

Helpline

Looking for someone to address with your IP questions?

Training

IP capacity building: webinars and e-learning

IP resources library

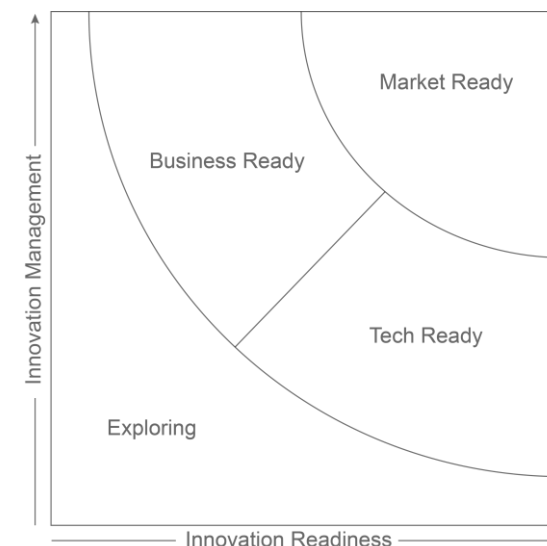
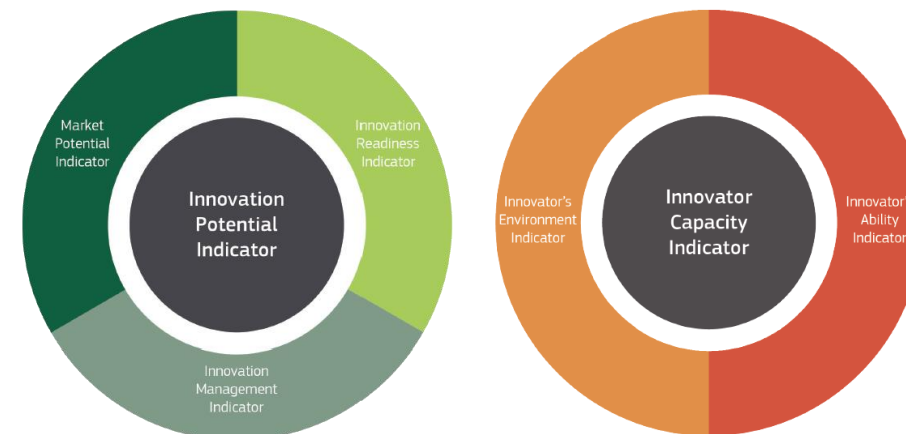
Variety of hands-on, user friendly and easy-to-read publications such as guides, Bulletins, fact sheets or case studies.

Innovation Radar

For cutting-edge EU-funded innovations

= a European Commission initiative to identify high potential innovations and innovators in EU-funded R&I projects

- Builds on the information and data gathered by independent experts involved in reviewing ongoing projects funded by the EU. These experts also provide an independent view regarding the innovations in the projects and their market potential
- Supported by Dealflow.eu which delivers support to high potential innovators identified by Innovation Radar



Innovation Radar

For cutting-edge EU-funded innovations

SMART & SUSTAINABLE SOCIETY INNOVATION

Novel chemistries of poly-vinyl ester resins formulations for improving fibre bridging

SHARE:

Market Maturity: **Market Ready**

These are innovations that are outperforming in innovation management and innovation readiness, and are considered to be "Ready for the market". [Learn more →](#)

Market Creation Potential

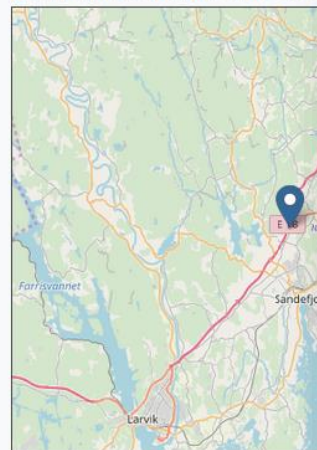
This innovation was assessed by the JRC's Market Creation Potential indicator framework as addressing the needs of **existing markets and existing customers**. [Learn more →](#)

Go to Market needs

Needs that, if addressed, can increase the chances this innovation gets to (or closer to) the market include:

- Prepare for Market entry
- Scale-up market opportunities

Location of Key Innovators developing this innovation



Webtools | Leaflet | © OpenStreetMap contributors |

Key Innovators

POLYNT COMPOSITES NORWAY AS

SANDEFJORD, NO
Large Enterprise

1 innovations



The EU-funded Research Project

This innovation was developed under the Horizon 2020 project **DACOMAT** with an end date of 31/12/2021

- [Read more about this project on CORDIS →](#)
- [Details of this project on the Horizon 2020 dashboard →](#)

Description of Project DACOMAT

Society is dependent upon the continuous functioning of critical infrastructures such as road bridges and energy supply. These infrastructures are exposed to high loads and harsh environmental conditions through their lifetime in operation and materials failures lead to down time having vast negative effects on productivity and well-being in society in terms of lost time, shortened life cycles and increased service costs. So engineers face the challenge to develop durable materials compatible with industrial standards in an economically viable way. Composites represent attractive materials and are increasingly used for such applications since they demonstrate low weight, high strength and stiffness and high environmental resistance. However composites suffer from sudden brittle failure mainly due to production defects and handling damages; this is currently handled by strict quality and process control from manufacturers, resulting in high production costs which can represent a barrier

to introduction and development of composites in a wide range of applications. The general objective of DACOMAT is to develop more damage tolerant and damage predictable low cost composite materials in particular aimed for used in large load carrying constructions like bridges, buildings, wind-turbine blades and off shore structures. The developed materials and condition monitoring solutions will enable composite structures to be designed and manufactured as large parts allowing for more and larger manufacturing defects and the need for manual inspection to be dramatically reduced. A demonstration of the materials' performances in relevant environment will be conducted in two business cases: wind turbine blades and road bridge beams, while both LCC and LCA analysis will also strengthen the project's credibility. The project gathers the full industrial value chain: ranging from materials development and manufacturing to composite parts demonstrators and standardisation.

Innovation Radar's analysis of this innovation is based on data collected on 13/03/2021.

Horizon Results Platform

Promote the exploitation of your results

Filter by

Search in keywords, organisation, project name

Match whole words only

Country

Norway

Project result search (129)

Sort by

FILTER(S): **Country: Norway**

- We need
 - Investor readiness training
 - Expanding to more markets /finding new customers
- 20 contributors
- Sectors
 - Climate action
 - Education and training
 - Environment
- Result Maturity: 6 - Demonstration - System Launch and Operations (TRL 8-9)

My Project(s)

Download excel list

ACRONYM	CALL	PROGRAM	PROJECT	PHASE	ACTIONS
901241_wilk_cosmeGA	COS-ENTEDU-2014-4-06	COSME	901241		Actions
ADABTS	FP7-SEC-2007-1	FP7	218197	Active	Actions
HIGHTECS	SP1-JTI-CS-2009-01	FP7	255749	Active	Actions
M-ERA.NET 2	H2020-NMP-ERA-NET-2015	H2020	903732		Actions

Project Results (highlighted in red box)

- Manage Consortium
- Financial Reporting
- Reporting & Deliverables
- Project Results

Policy related results

- Results likely to influence policy
- Results by contribution to UN SDGs

On the path to innovation

- Looking for funding, loans, or investments
- Looking for help on the way to market

Advancing the research and technology

- Looking for technical or infrastructure help or fellowship
- Looking for collaboration

EIC Business Acceleration Services & the EIC Community Platform

Under Horizon Europe, the EIC support goes beyond funding, and it aims at accelerating EIC innovations and growth of top deep tech companies. To leverage further EIC investments, as EIC supported researcher, innovator or entrepreneur you will have access to a range of tailor-made EIC Business Acceleration Services.

On the EIC Community Platform you can:

- Access Business Acceleration Services like coaching and matchmaking events
- Explore business opportunities and expand your business network
- Co-create knowledge and self-organise online events and learning opportunities
- Get support from peers and the EIC ecosystem, share experience and start discussions
- Expand networks with EIC ecosystem partners
- Share your experience and get support from peers via horizontal and thematic groups
- Co-create ideas and knowledge
- Promote interesting other events to the EIC Community

EIC Business Acceleration services:

- **EIC Corporate Programme** bridge the gap between EIC-funded companies and large corporates so they collaborate and develop new business models and opportunities
- **EIC Investor Programme** and e-pitchings are exclusive European pitching and networking events gathering venture capitalists, business angels and other finance partners with the aim to help EIC companies finding their next funding opportunity
- **EIC Innovation Procurement Programme** bring together innovators with procurers to exploit deep tech innovations through early market consultation and thematic procurement scouting activities
- **EIC Community Talks and Trainings** gather together EIC Community members for experience sharing and peer-learning workshops (including for the EIC beneficiaries at an earlier stage of innovation life cycle)
- **EIC Coaching:** Get access to tailored coaching available throughout your project. Selected coaches are active in the community supporting regularly beneficiaries on business development, organisational development and finance
- **EIC Pathfinder Programme** brings together early-stage researchers and SMEs (Pathfinder and Transition beneficiaries) and offers them the tools to bring their innovation to the market by leveraging entrepreneurial skills and promoting early access to market and investment opportunities

Knowledge Valorisation Platform

To transform research results into products and solutions

- The Knowledge Valorisation Platform connects players in the EU with the common goal to transform the excellent research results and data we produce in Europe into sustainable products and solutions for the benefit of all - be it economic prosperity, environmental benefits, societal progress or improved policy making.
- The platform provides a space for stakeholders to share [best practices](#) and establish contacts, to exchange experiences and [co-design guidance](#), and to stay connected to EU developments in knowledge valorisation. The aim of the platform is to ultimately improve policies and enhance capacities and skills.
- [Read more about the EU's knowledge valorisation policy](#)

Best Practices

Flanders' twin policy and funding for knowledge valorisation



Entrepreneurial and social potential of Social Sciences and Humanities research



The REVALORISE+ initiative is an Erasmus+ Knowledge Alliance project
[Read more](#)

Open Spin-off Creation Model



The model consists of open procedures at many different levels and stages
[Read more](#)

Technology transfer from research hospitals



Research Hospitals and their Technology Transfer Offices as key players to strengthen the economic impact from collaborations with

Topics

- Any -

Target groups

- Any -

Scope

- Any -

Country

- Any -

Apply

https://ec.europa.eu/info/research-and-innovation/research-area/industrial-research-and-innovation/eu-valorisation-policy/knowledge-valorisation-platform_en

A black clothespin is attached to a thin, dark string that runs diagonally across the frame. The clothespin is holding a white rectangular card. The card has the word "QUESTIONS?" written in a bold, orange, sans-serif font. The background is a plain, light gray wall.

QUESTIONS?

Thank
you

for your attention

Krisztina Toth

krisztina.toth@europamedia.org

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