

Europa Media Webinar

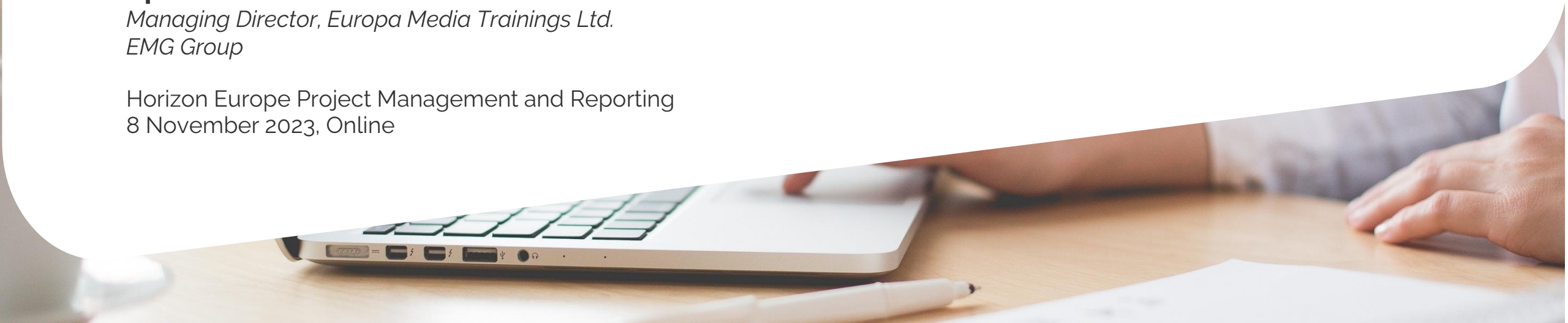


Reporting from A to Z: Internal reporting, Periodic reporting, Review meeting

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EMG Group*

Horizon Europe Project Management and Reporting
8 November 2023, Online



Content

1. Internal reporting
2. Periodic report – in actual cost grants
 1. Financial Report
 2. Technical Report
 3. Submission of the report
 4. Last reporting period
3. Review meeting

1

Internal reporting

Internal reporting

To monitor the financial and technical implementation

Based on the CA at the kick off meeting you would need to discuss the internal monitoring and reporting procedures.

Internal reporting:

- Allows a good overview of the work that's been done
- Alerts if a partner is overspending or underspending
- Highlights issues that may hinder the implementation of the project activities

Going back to our template! How about an extra exercise?



2

**Periodic report – in
actual cost grants**

Technical and Financial Report

Periodic report module

Overview

Technical part

- **Part A** (structured information entered through the **continuous reporting** module)
 - Project summary
 - Deliverables, milestones, risks, etc.
 - Answers to the impact questionnaire
- **Part B** (narrative part submitted in a PDF through the **periodic reporting** module)
 - Explanation of the work carried out by the beneficiaries and overview of progress
 - Explanations on deviations from DoA

Financial part

- **Financial statements** (individual and consolidated).
- Explanation on the **use of resources** and information on subcontracting and in-kind contributions by third parties.
- **Certificates on the financial statements** (CFS), only at final payment if threshold is reached (uploaded as PDF).

Report generated automatically based on the information entered through the **periodic reporting** module.

Activated at the end of reporting periods

Must be submitted within 60 days following the end of each reporting period

Periodic reporting module

Functionalities

Beneficiaries complete on-line their financial statements including the explanations on the use of resources.

Coordinator uploads the Part B of the periodic technical report (narrative part).

Periodic reporting is activated after the end of each reporting period except if an amendment is ongoing or a previous periodic report is still open.



The screenshot displays the 'RESEARCH & INNOVATION Grant Management Services' interface. On the left, a sidebar shows project details for 'HORIZON 2020' (Call: H2020-SwafS-2018-1, Type of Action: CSA, Number: 824544). The main area features a 'MY PROJECT' section with a progress bar for 'Continuous Reporting' (824544) showing 'Started' on 01 Jan. Below this, a 'Periodic Reporting' section (REP-119261-1 - period) is highlighted with a red box, showing a progress bar with stages: 'Draft' (14 Mar), 'Submitted' (15 Sep, 2068/60 days), and 'Paid'. The periodic reporting section includes tasks like 'Technical Part contribution' (Lock for review), 'Financial Statement AST [PIC] drafting' (Lock for review), and 'Periodic Report composition' (Submit to EU). A 'HOW TO' button is visible at the bottom left, and the footer indicates '© European Communities - Version 1.24'.

2.1

Financial Report

Financial report

The screenshot shows the 'RESEARCH & INNOVATION Grant Management Services' interface. The top header includes the European Commission logo and a 'Help' dropdown. The main content area is divided into a left sidebar and a main workspace.

MY PROJECT
HORIZON 2020

Call:
Type:
Acronym:
Current Phase: Grant Management
Number: 824544
Duration: 48 months
GA based on the: H2020
General MGA — Multi - 5.null
Start Date: 01 Jan 2019
Estimated Project Cost: €2,997,008.75
Requested EU Contribution: €2,997,008.75
Contact: [David MONTEIRO](#)

Buttons in sidebar:
- Latest Legal Data
- Active Processes
- Document Library
- Communication Centre
- Archived Processes

Buttons at bottom left:
- H2020 ONLINE MANUAL
- HOW TO

Continuous Reporting
824544 - SPEAR
01 Jan 2019
Started
Completed

Buttons: + Continuous reporting data

Process sections:
- Process documents
- Process communications
- Process history

Periodic Reporting
REP-119261-1 - period 17/01/2010 > 16/07/2011
14 Mar 2017 (Draft)
15 Sep 2011 (208860 days) (Submitted)
Paid

Items in red box:
- Technical Part contribution (Lock for review)
- Financial Statement AST [PIC 973276467] drafting (Lock for review, highlighted with a red arrow)
- Periodic Report composition (Submit to EU)

Process sections:
- Process specific documents
- Process specific communications

Page footer: © European Communities - Version 1.24

Periodic Report - H2020

Financial Part

Grant Management

Project Periodic Report

Period No: 1 Duration (months): 18
Reporting Period : [01 Mar 2016 - 31 Aug 2017]

Beneficiary 7:
Legal Name:
PIC: 998870014 Status: VALIDATED
Legal Address: ZAHONY UTCA 7 , 1031 , BUDAPEST
Hungary

Financial Statement

Financial Statement

SAVE

01 Mar 2016 - 31 Aug 2017 (Period No. 1) No 0.00 €

Financial Statement for period '1' - (01 Mar 2016 - 31 Aug 2017)

Eligible costs:

Cost Category	Unit Cost	Number of Units	Subtotal	Total	Actions
a) Direct personnel costs declared as actual costs				0.00 €	
b) Direct personnel costs declared as unit costs (average costs)				0.00 €	
▼ c) Direct personnel costs declared as unit costs				0.00 €	
c1) SME owner/Natural person costs	24.78 €	x <input type="text" value="0.00"/>	= 0.00 €		
d) Direct costs of subcontracting			<u>0.00 €</u>		
e) Direct costs of providing financial support to third parties			<u>0.00 €</u>		
f) Other direct costs				0.00 €	
h) Costs of internally invoiced goods and services				0.00 €	
i) Indirect costs (= 0.25 * (a + b + c + f + h - p))				0.00 €	
k) Total costs (= a + b + c + d + e + f + h + i)				0.00 €	
n) Maximum EU contribution (= 100% * k)				0.00 €	
o) Requested EU contribution					

Validate

Financial Report – H2020

Person Months spent

Direct personnel costs declared as actual costs □

Persons/month per WP [+ Add Detail](#)

No.	Person Months	Associated Work Package	Actions
1	<input type="text" value="3.00"/>	+ WP1	×
2	<input type="text" value="5.00"/>	+ WP4	×
3	<input type="text" value="4.50"/>	+ WP6	×

Use of in kind contribution from third party [+ Add Detail](#)

There are no Use of Resources provided

Financial Report – H2020













Other direct costs

Other direct costs

Other direct costs (only for actual costs; unit costs are excluded): explanation of major cost items if the amount exceeds 15% of personnel costs. Please give details of major cost items up to the level that the remaining costs are below 15% of personnel costs, starting from the cost items of highest value in terms of cost amount.

It is recommended to specify the Personnel Costs and total amount of Other Direct Costs before filling in the Use of Resources

[+ Add Detail](#)

No.	Costs	Short Description	Category	Associated Work P.	Foreseen in Annex	Explanations (if not for)	Actions
1	9,670.44 €	 Project Meetings	Travel	 WP1	Yes		
2	2,550.33 €	 Dissemination material	Other goods	 WP6	Yes		
3	14,689.22 €	 TLS Hardware and Software	Equipment	 WP4	Yes		
TOTAL	26,909.99 €						

Use of in kind contribution from third party


[+ Add Detail](#)

There are no Use of Resources provided

 [Ok](#)  [Cancel](#)

Periodic Report - HE

Financial Part



236417 (236417 OIid - G..)

Period No: 1 Duration (months): 18

Reporting Period : [14 Aug 2020 - 13 Feb 2022]

Project Periodic Report


Beneficiary 1: AST GmbH

Legal Name: AST ADVANCED SPACE TECHNOLOGIES GMBH

PIC: 973276467 Status: VALIDATED

Legal Address: ZEPPELINSTRASSE 9B , 28816 , STUHR Germany

Financial Statement



Financial Statement

SAVE

Financial Statement for period '1' - (14 Aug 2020 - 13 Feb 2022)

Eligible costs:

Category	Form of Funding	Total Amount
▼ Eligible costs (per budget category)		
▼ Direct costs		
▼ A. Personnel costs		
▼ (a1) A.1 Employees (or equivalent), A.2 Natural persons under direct contract, A.3 Seconded persons	actual	50.00 €
▼ (a2) A.1 Employees (or equivalent), A.2 Natural persons under direct contract, A.3 Seconded persons	unit (usual accounting practices)	0.00 €
▼ (a3) A.4 SME owners and natural person beneficiaries	unit	0.00 €
SME owner/Natural person costs		
▼ B. Subcontracting costs		
▼ (b) Subcontracting	actual	0.00 €
▼ C. Purchase costs		
▼ (c1) C.1 Travel and subsistence	actual	10.00 €
▼ (c2) C.2 Equipment	actual	5.00 €
▼ (c3) C.3 Other goods, works and services	actual	5.00 €
▼ D. Other cost categories		
▼ (d2) D.2 Internally invoiced goods and services	unit (usual accounting practices)	15.00 €
(d3) D.3 Transnational access to research infrastructure unit costs	unit	0.00 €
(d4) D.4 Virtual access to research infrastructure unit costs	unit	0.00 €
▼ Indirect costs		
▼ E. Indirect costs		
(e) E. Indirect costs (25% * (a1 + a2 + a3 + c1 + c2 + c3))	flat-rate	17.50 €
(f) Total costs (a1 + a2 + a3 + b + c1 + c2 + c3 + d2 + d3 + d4 + e)		102.50 €

Validate

Financial Report - HE

Person Months spent

A.1 Employees (or equivalent), A.2 Natural persons under direct contract, A.3 Seconded persons

Persons/month per WP

Work Packages + Add

WP1
WP2

No 'Persons/month per WP' added yet.

Use of in kind contribution from third party
One line per third party. In-kind contribution free-of-charge only.
Double-check consistency with the information on in-kind contributions in Technical Report (Part B) - activities & work packages.

No 'Use of in kind contribution from third party' added yet. + Add

Confirm Cancel

A.1 Employees (or equivalent), A.2 Natural persons under direct contract, A.3 Seconded persons

Persons/month per WP

Work Packages WP1 + Add

No 'Persons/month per WP' added yet.

Use of in kind contribution from third party
One line per third party. In-kind contribution free-of-charge only.
Double-check consistency with the information on in-kind contributions in Technical Report (Part B) - activities & work packages.

No 'Use of in kind contribution from third party' added yet. + Add

Confirm Cancel

A.1 Employees (or equivalent), A.2 Natural persons under direct contract, A.3 Seconded persons

Persons/month per WP

Work Packages + Add

Associated Work Package	Person Months	Actions
WP1	10.00	✖

Use of in kind contribution from third party
One line per third party. In-kind contribution free-of-charge only.
Double-check consistency with the information on in-kind contributions in Technical Report (Part B) - activities & work packages.

No 'Use of in kind contribution from third party' added yet. + Add

Confirm Cancel

Financial Report - HE

Purchase costs

C.1 Travel and subsistence

Purchase Costs

Purchase amounts to be explained: 12.5
Total explained amount: 6

*Details for major cost items (needed if costs declared under purchase costs are higher than 15% of the claimed personnel costs).
Start with the most expensive cost items, down to the 15% threshold.*

No 'Purchase Costs' added yet. [+ Add](#)

Use of in kind contribution from third party

*One line per third party. In-kind contribution free-of-charge only.
Double-check consistency with the information on in-kind contributions in Technical Report (Part B) - activities & work packages.*

No 'Use of in kind contribution from third party' added yet. [+ Add](#)

[Confirm](#) [Cancel](#)

C.1 Travel and subsistence

Purchase Costs

Purchase amounts to be explained: 12.5
Total explained amount: 9

*Details for major cost items (needed if costs declared under purchase costs are higher than 15% of the claimed personnel costs).
Start with the most expensive cost items, down to the 15% threshold.*

Cost item name	Work Packages	Foreseen in Annex I?	Explanation (if not in Annex 1)	Costs (EUR)	Actions
Plane ticket	1	Yes		2.00	✖
Hotel	1	Yes		1.00	✖
TOTAL				3.00	

Use of in kind contribution from third party

*One line per third party. In-kind contribution free-of-charge only.
Double-check consistency with the information on in-kind contributions in Technical Report (Part B) - activities & work packages.*

Third Party Name	Work Packages	Foreseen in Annex I?	Explanation (if not in Annex 1)	Costs (EUR)	Actions
TP	1	Yes		1.00	✖
TOTAL				1.00	

[Confirm](#) [Cancel](#)

Adjustment in H2020 and HE

Financial Statement

Grant Management
Project Periodic Report

917294 (917294 TEST [ABAC: CSA

HORIZON 2020

Period No: 2 Duration (months): 18
Reporting Period : [17 Jul 2011 - 16 Jan 2013]

Beneficiary 1: AST
Legal Name: AST ADVANCED SPACE TECHNOLOGIES GMBH
PIC: 973276467 Status: VALIDATED
Legal Address: ZEPPELINSTRASSE 9B , 28816 , STUHR
Germany

Financial Statement

Financial Statement

No contribution requested?

Certificate on the Financial Statements

Financial Statements

17 Jul 2011 - 16 Jan 2013 (Period No. 2)

Create Adjustment Financial Statement

Reporting Period

Use of Resources

+ Add Adjustment

Contribution

€

2.2

Technical Report

Periodic technical reporting module – HE

The screenshot displays the 'Project Periodic Report' interface. At the top, there is a navigation bar with 'Grant Management' and 'Project Periodic Report' labels. Below this is a progress bar with 15 categories: Project Summary (checked), Deliverables (info), Milestones (info), Critical Risks (checked), Disseminat... Activities (checked), Patents (IPR) (checked), Communic... Activities (checked), Tech. Report (Part B) (info), Financial Statements (info), Researchers involved in the project (checked), Beneficiaries Feedback (checked), Impact (checked), Results (checked), and Other Results (checked). The 'Project Summary' section is active, showing a text input field with the placeholder 'text'. A 'Validate' button is located at the bottom right.

236417 (236417 OIid - G..) HORIZON-...
Period No: 1 Duration (months): 18
Reporting Period : [14 Aug 2020 - 13 Feb 2022]

Project Summary for publication

i This section is structured in four sub-sections that must be completed on-line with suitable quality to enable direct publication by the Commission/Agency/other EU funding body. It should be easy to read i.e. written in a language easily understandable by a broader public, thereby promoting the dissemination and supporting the exploitation of EU funded results. It should preferably not exceed 7480 characters (equivalent to two pages of a text document). This part must not contain any confidential or personal data (e.g. names and addresses).

The summary for publication must be drafted as a "stand-alone" text. No references should be made to other parts of the report. References can be made only to publicly available information.

Beside the summary filled within the tool, diagrams or photographs illustrating and promoting the work of the project can be provided (only as images).

Context and overall objectives

text

**Screenshot from IT How To – has not yet been updated by the EC*

Validate



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Horizon Europe

1. EXPLANATION OF THE WORK CARRIED OUT AND OVERVIEW OF THE PROGRESS
 - 1.1 Objectives
 - 1.2 Explanation of the work carried out per WP
 - 1.3 Impact
 - 1.4 Update of the plan for exploitation and dissemination of results (if applicable)
2. FOLLOW-UP OF RECOMMENDATIONS AND COMMENTS FROM PREVIOUS REVIEW(S) (IF APPLICABLE)
3. EXPLOITATION PRIMARILY IN NON-ASSOCIATED THIRD COUNTRIES (IF APPLICABLE)
4. OPEN SCIENCE
5. DEVIATIONS FROM ANNEX 1 AND ANNEX 2 (IF APPLICABLE)

How to report deviations?

Section 5. Deviations from Annex 1

5.1 Tasks

- Include explanations for tasks not fully implemented, critical objectives not fully achieved and/or not being on schedule. Explain also the impact on other tasks on the available resources and the planning.

5.2 Use of resources

- Include explanations on deviations of the use of resources between actual and planned use of resources in Annex 1, especially related to person-months per work package.

5.2.1 Unforeseen subcontracting (if applicable)

- Specify in this section:
 - The work (the tasks) performed by a subcontractor which may cover only a limited part of the project;
 - Explanation of the circumstances which caused the need for a subcontract, taking into account the specific characteristics of the project;
 - The confirmation that the subcontractor has been selected ensuring the best value for money or, if appropriate, the lowest price and avoiding any conflict of interests.

5.2.2 Unforeseen use of in-kind contribution from third party against payment or free of charges (if applicable)

- Specify in this section:
 - The identity of the third party;
 - The resources made available by the third party respectively against payment or free of charges
 - Explanation of the circumstances which caused the need for using these resources for carrying out the work.



How to report deviations?

Examples

Tasks related deviations:

- Change in the schedule of the action
- Additional activities
- Attending at event which was not foreseen in the DoA...

Budget related deviations:

- Budget transfer between beneficiaries
- Underestimating PM
- Overspending

5. Deviations from Annex 1 and Annex 2

5.1 Tasks

The work plan detailed in the Description of the Action (DoA) remained valid and no critical deviations have been encountered during the 2nd period of MY-GATEWAY. All deliverables were submitted, all milestones were achieved as planned. The Project Officer (PO) was informed in advance of any deviations in submitting from the initial schedule, in particular, the deliverables related to WP4 and WP5.

5.2 Use of resources

MY-GATEWAY has implemented a cost-monitoring system (Internal Financial Reporting) to keep costs under control and in consistency with the achieved results. The overall spending of the project is in line with the work implemented and with the budget foreseen in the Description of Action (DoA). All costs reported by the beneficiaries were carefully checked, needed and justified to achieve the project's objectives.

In particular, the table below provides an overview of the **actual effort (person-months) spent by each project partner during the 2nd period of MY-GATEWAY** in comparison to the efforts included in the DoA of the project.

- **Beneficiary 3: BIU**
BIU was responsible for Task 4.3 Finding value in university R&D- for creating an entrepreneurial -academic connected ecosystem. BIU spent additional efforts than expected in WP4 (+0.53PM) to gather, harmonize and analyse data from Universities for the Technology Transfer model. Please note that Bar Ilan University requested EC contribution in order to remain within it foreseen budget.
Adjustment to RP1: Following the internal revision of the RP1 financial reports BIU submitted an adjustment to RP1 to correct the travel costs.
- **Beneficiary 4: SOA**
SOA as the leader of WP3 increased its efforts (+1,6PM - Adjustment to RP1 and RP2) in maximizing the benefits of the startups attended at Startup Ole, by providing matchmaking to our entrepreneurs. The additional effort did not result in overspending of the foreseen budget.
Adjustment to RP1: Following the internal revision of the RP1 financial reports SOA submitted an adjustment to RP1 to correct the other direct costs.
- **Beneficiary 8: SPHERIK**
SPHERIK spent additional efforts in WP3 (+0.05 PM) with the preparation of the Access2Finance workshop in Bucharest within the iCEE fest. Additional efforts were required in order to harmonize the agenda of the A2F workshop with the overall programme of the iCEE fest. The additional effort spent resulted with minor overspending in terms of financial resources.
- **Beneficiary 9: IRP**
IRP spent additional efforts in WP1 (+0.45 PM) related to the increase of administrative efforts within the organisation for arranging the logistics for the travel of their startups to the MY-GATEWAY events. In addition, IRP reported additional efforts in WP6 (+0.86 PM) due to their increased involvement in the Exploitation phase of the project, specifically defining the strategy for the Startup Europe Networks. Lastly, additional efforts were spent in WP7 (+1.24 PM) for the promotion of the MY-GATEWAY final event, in order to increase the participation of the Slovenian startups at the event. In this respect, it is important to note that the additional effort spent to this end did not result in any overspending in terms of financial resources.
- **Beneficiary 10: CI**
Adjustment to RP1: The adjustment to RP1 of the beneficiary CI was done after the thorough check of declared cost of the project. Findings are related to the Direct Personnel Cost and Other Direct Cost. Considering Direct Personnel Cost it was found out, that some components of the basic remuneration of personnel working on the project was mistakenly accounted (i.e. not considering the portion of working time spent on the project and other activities of an employee). This finding led to

Drafting the reports effectively

Tips – what and how to include in the reports

- Put together a very clear and solid **Publishable / Executive Summary**
- **Distribute** the work – as in proposal preparation
- Work Progress (WP1-n): **WP leaders** should coordinate with input from the relevant partners
- Establish **uniform** templates for the sections where you need partners' input
- **Consolidate** partner outputs/achievements in case of joint activities (e.g. Dissemination)
- Use **visuals** (highlight, graphics, tables, etc.)
- Leave enough time to do the **final editing, formatting** and proof-reading of the reports + filling in all the info **also online!**
- **Minor problems should never be reported** – find the solution “in-house”!
- **Major problems** (serious delays, exclusion of a beneficiary, etc.) have to be reported – but there will be consequences...
- **Report problems with options for solutions**
- All other problems – **try to balance!**



2.3

**Submission of
the Report**

Submission of the Period Report

RESEARCH & INNOVATION
Grant Management Services

MY PROJECT
HORIZON 2020

Call: H2020-EE-2015-3-MarketUptake
Type of Action: CSA
Acronym:
Current Phase: Grant Management
Number: 696069
Duration: 42 months
GA based on the: H2020 General MGA - Multi - 2.null

Periodic Reporting
REP-696069-2 - period
01/09/2017 > 31/08/2019

01 Sep 2019
Draft

31 Oct 2019
(10/60 days)
Submitted

01
Paid

Technical Part contribution

Financial Part GEO [PIC 999745536] drafting

Periodic Report composition

Lock for review

Lock for review

Launch new interaction with the EU +

Periodic Report Composition [Period No: 1 (01 Jan 2018 - 31 Dec 2018), Project No: 780758]

Report Item	Status	Action
Technical report		
Technical Part of Periodic Report	Locked for Review	
Financial report		
1 (0/1) Financial Statement	Included	Redo
2 (0/1) Financial Statement	Sent to CO	Redo Include
3 (0/1) Financial Statement	Included	Redo
4 (0/1) Financial Statement	Included	Redo
5 (0/1) Financial Statement	Sent to CO	Redo Include
6 (0/1) Financial Statement	Sent to CO	Redo Include
7 (0/1) Financial Statement	Sent to CO	Redo Include
8 (0/1) Financial Statement	Sent to CO	Redo Include
9 (0/1) Financial Statement	Sent to CO	Redo Include
10 (0/1) Financial Statement	Sent to CO	Redo Include
11 (0/1) Financial Statement	Sent to CO	Redo Include

Submission of the Period Report

EUROPEAN COMMISSION AUTHENTICATION SERVICE (EU LOGIN)

EUROPA > Authentication Service > EU Login Signature

External
PARTNERPFSAS (notestst)

Change password My Account Help | Logout

EU Login Signature

Welcome to the EU Login Signature page. This page allows you to digitally sign a transaction using your EU Login password.

Sign a transaction for SyGma-PPGMS

Description: Signature of the financial statement for 500603-500603 PROJECT 2016/10/28-5 [ABAC: ABACBUOL] as authorised representative of the legal entity SERVICES BV

Reason: For signature

Comment

Password

SIGN

RESEARCH & INNOVATION
Participant Portal - Grant Management Services

European Commission

Help

Launch new interaction with the EU +

MY PROJECT

HORIZON 2020

Call: H2020-ICT-2017-1
Type of Action: IA
Acronym:
Current Phase: Grant Management
Number: 780758
Duration: 24 months
GA based on the: H2020 General MGA — Multi - 4.0
Start Date: 01 Jan 2018
Estimated Project Cost: €1,571,550.00
Requested EU Contribution: €1,498,771.25
Contact:

Latest Legal Data
Active Processes
Document Library
Communication Centre
Archived Processes

H2020 ONLINE MANUAL

HOW TO

Project Monitoring for Consortium

PMOC-780758-1

22 Jan 2019

Started Informed Assessed Completed

- Process documents
- Process communications
- Process history

Periodic Reporting

REP-780758-1 - period 01/01/2018 > 31/12/2018

01 Jan 2019 02 Mar 2019 (35/60 days)

Draft Submitted Paid

Submit to EU

- Periodic Report composition
- Process documents
- Process communications
- Process history

Continuous Reporting

780758 - MY-GATEWAY

01 Jan 2018

Started Completed

- Continuous reporting data
- Process documents
- Process communications
- Process history

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EU assessment

of the Periodic Report and the Payment

Suspension of the payment deadline letter

- You have to (re)submit the updated reports/requested information via your Participant Portal account – within 30 days /15 days (last period) from receiving this letter.
- Suspension will be lifted (and the remaining payment period will continue to run again) once all issues have been resolved.

Interim payment information letter / Payment of the Balance Letter to Coordinator

- The coordinator will be notified of the end of the payment process and will receive a payment letter and the supporting reports with the details of any cost rejected and the reasons for rejection.



Suspension of the Payment Deadline

Letter to the consortium

You are required to (re)submit the updated reports/requested information via the Participant Portal **within 30 days /15 days** (last period) from receiving the letter.

Suspension will be lifted (and the remaining payment period will continue to run again) **once all issues have been resolved**.

Please resubmit the updated report via your [Funding & Tenders Portal account](#) — within **15 days** after receiving this letter.

The suspension will be lifted (and the remaining payment period will continue to run again) once the problem is solved.

Please ensure that the other members of your consortium (if any) are informed of this letter.

For any questions, please contact us via your [Funding & Tenders Portal account](#).

Yours faithfully,

Project Officer

Subject: Horizon 2020 Framework Programme
Project: '
Periodic reporting: RP 2
Request for a revised periodic report
Suspension of the payment deadline (Article 47 GA)

Dear Madam/Sir,

In connection with your above-mentioned periodic report, I would like to inform you that we had to reject the report and **suspend our payment deadline** because the report must be revised.

The following changes are required for the **financial part**:

- for
“Venue renting (including audio visual equipment)....”: 25.121,01€
Could you please provide the details of the event (date/title/relation with the project)?
- for
Adjustment to RP1:
Please add a paragraph describing the adjustment in the Final Report (page 114).
- for
The actual effort for RP2 reported in the Final report (page 113) is not consistent with the effort reported in the Report on Explanation of the Use of Resources”
Other direct costs:
Startup Europe Club hosting: 117,00€
Please provide details of the event (date/relation with the project)
Startup World Cup Prague: 105,86€
Please provide details of the event (date/relation with the project)

The following changes are required for the **technical part**:

- Certificate on the Financial Statements

Typical mistakes

- Beneficiary No 3 for WP2, **more PM than foreseen have been reported**, please explain the reason for the deviation.
- Beneficiary No 9..... Has an average **monthly salary** of approx. 8.500 EUR foreseen. In the first reporting period, they are claiming personnel cost of approx. 12.200 EUR per month. Since this is a **high deviation, please explain** the reason. Moreover it is declaring the total number of PM for all WPs of period 1. Please provide further explanation.
- Other direct costs: Line EUR 644.70 – includes EUR 254.95 for Business breakfast in Kingston on 27/04/2017 – this **cost is related to an event occurred in RP1**. Please check if this amount has not already been claimed and paid in RP1. If not, please take it away from RP2 and claim it as an Adj RP1.
- For WPs 4 and 9, the **PMs foreseen are already overused**. Please clarify if the work of Beneficiary 3 in these two WPs is done or if there is still work foreseen in the next reporting period.
- Beneficiary No 4, **WP8 only starts in M25, but already 6,8 PM are requested**, please clarify.
- Beneficiary No, is requesting **unit cost for SME owner** or natural person cost. These costs should have been foreseen in Annex2. Please confirm that this is not a typo and submit a filled in Annex 2a.

Payment letter

Interim payment information letter Payment of the Balance Letter

**Subject: Horizon 2020 Framework Programme
Grant Agreement:
Request for payment of the balance
Payment of the balance — Final grant amount (Articles 5.3, 21 GA)
Notification of amounts due**

Dear Madam/Sir,

In connection with your above-mentioned request for **payment of the balance**, I would like to inform you that we will shortly **launch the payment** of **EUR 219730.69**. As coordinator, you must distribute this payment between the beneficiaries without delay.

You will find the detailed calculations in the enclosed documents.

Together with the payment of the balance, the Commission will also **release** the amount which was retained for the **Guarantee Fund** when the pre-financing was paid (**EUR 38306.22**) .

Please ensure that the other members of your consortium are informed of this letter.

Subject: Horizon 2020 Framework Programme Grant Agreement

Request for interim payment (Reporting period 1 from 01/01/2015 to 31/12/2015)

Dear Madam,

In connection with your above-mentioned **request for interim payment**, I would like to inform you that we will shortly **launch the payment** of **EUR 293,572.81**. As coordinator you must distribute this payment between the beneficiaries without delay.

You will find the detailed calculation in the enclosed documents.

Please ensure that the other members of yours consortium are informed of this letter.

Dear Madam/Sir,

In connection with your request for **interim payment**, I would like to inform you that we will soon make a payment of **EUR 299,896.25**.

The amount is below your request because:

- your consortium reached the 90% limit for interim payments.
- some costs were rejected as ineligible.

You will find the detailed calculations in the enclosed documents.

As coordinator, you must distribute this payment between the members of your consortium without delay.

For any questions, please contact us via your [Participant Portal account](#) .

2.4 **Last reporting period**



Financial Report - Technical Report

- For the final reporting period, the coordinator must submit, in addition to the periodic report, the final report **within 60 days of the end of the final reporting period.**
- The final report **covers the whole project** and is composed of a final technical and a final financial part:
- **Final technical report** is a publishable summary of the entire project
 - Summary of the context and overall objectives of the project (For the final period, include the conclusions of the action)
 - Work performed from the beginning of the project to the end of the period covered by the report and main results achieved so far (For the final period include an overview of the results and their exploitation and dissemination)
 - Progress beyond the state of the art and expected potential impact (including the socio-economic impact and the wider societal implications of the project)
 - Project logos, diagrams, photographs and videos illustrating its work (if available).

Results ownership list

as part of the final periodic report

Single or joint ownership of results? <i>(Indicate the number of owners)</i>	Result owners	Owner country of establishment	Will the owners exploit the result?	In which form will the result be made available to other consortium members and/or third parties?	Does the exploitation of the results require access to background of one or several consortium members? <i>(If Yes a compulsory question opens below)</i>	Does the exploitation of the results require access to third party IPR? <i>(If Yes, a compulsory question opens below)</i>
[number of owners]	[insert owner name(s)] <i>[Entity or Individual]</i> <i>Entity: Drop down option with project partners + 'Other'. 'Other' opens a field asking for name, address, country, and an identifier such as VAT number.</i> <i>Individual: Drop down option with 'researchers in project</i>	[country]	[YES] [NO]	[Sale of IP] [Licensing] [Open access] [Open source] [Free license] [Secret/non-disclosure agreement] [Other] [N/A]	[YES] [NO]	[YES] [NO] [NOT KNOWN]
Exploitation requires access to background of consortium members						
[insert measures taken /envisaged to give access to the background required for exploitation]						
Exploitation requires access to third party IPR						
[insert measures taken /envisaged to get access to the required IPR]						

npopetib (EXTERNAL)

Project Periodic Report

158072 (158072 teaTEST ...) CSA

HORIZON 2020

Period No: 2 Duration (months): 18
Reporting Period : [13 Sep 2017 - 12 Mar 2019]

Beneficiary 1: AST
Legal Name: AST ADVANCED SPACE TECHNOLOGIES GMBH
PIC: 973276467 Status: VALIDATED
Legal Address: ZEPPELINSTRASSE 9C <script>alert('Cougou');</script> , 28816 , STUHR Germany

Financial Statement

Financial Statement

[Use of Resources](#) [Export Use of Resources to PDF](#)

Financial information from contract

No contribution requested? Yes No

Financial Certificate

Certificate on the Financial Statements Yes No [Upload](#)

Name of the Auditor Cost of Certificate

Financial Statements

Period	Adjustment	Requested Contribution	Actions
13 Sep 2017 - 12 Mar 2019 (Period No. 2)	No	50.00 €	Add Adjustment

Financial Statement for period '2' - (13 Sep 2017 - 12 Mar 2019)

Eligible costs: ⚠ The amount of Total Contribution requested entails that a Certificate of Financial Statements must be provided.

Cost Category	Unit Cost	Number of Units	Subtotal	Total	Actions
a) Direct personnel costs declared as actual costs				40.00 €	<input type="text"/>
b) Direct personnel costs declared as unit costs (average costs)				0.00 €	<input type="text"/>
c) Direct personnel costs declared as unit costs				0.00 €	<input type="text"/>
c1) SME owner/Natural person costs	1.06 € x	0.00 € =	0.00 €		
d) Direct costs of subcontracting				0.00 €	
e) Direct costs of providing financial support to third parties				0.00 €	
f) Other direct costs				0.00 €	<input type="text"/>
h) Costs of internally invoiced goods and services				0.00 €	
i) Indirect costs (= 0.25 * (a + b + c + f + h - p))				10.00 €	<input type="text"/>
k) Total costs (= a + b + c + d + e + f + h + i)				50.00 €	<input type="text"/>
l) Receipts				0.00 €	
n) Maximum EU contribution (= 100% * k)				50.00 €	
o) Requested EU contribution				50.00 €	<input type="text"/>

Additional information for indirect costs:

Use of 'costs of in-kind contributions not used on premises?' (p) Yes No

[Validate](#)

Payment of the balance letter

To the Coordinator

The coordinator will be notified of the end of the payment process and will receive a **Payment of the balance letter** and the supporting reports with the details of any cost rejected and the reasons for rejection:

- **Financial Situation** - Project Overview
- **Financial Statement Assessment** (per participant)
- **Payment of the Balance calculation sheet**

The coordinator must check for each beneficiary the amount of funds received and the costs reported and accepted by the EC:

- **Repayment of the excess funds to the coordinator**
- **Payment of the balance to the partners**



3

Review meeting

What is a technical review?

Projects are reviewed (monitored) to assess the work carried out over a given period. Reviews may cover the technical implementation of the project (i.e. its scientific and technological relevance) but may also cover financial and budgetary aspects or compliance with other obligations under the GA.

The reviewer(s) assess the **project progress** with regard to:

- the initial work plan
- deliverables
- planned and used resources
- relevance of the objectives
- scientific and industrial quality
- management procedures and methods
- beneficiaries' contributions, and
- the expected potential impact in scientific, technological, economic, competitive and social terms, and the plans for the use and dissemination of results.

When? Ad-hoc OR Foreseen (Number of reviews and the foreseen date (month on e.g. M12) is specified in the GA)

By whom? Contracted experts of the EC

Who should attend? Coordinator (mandatory) and the WP leaders.

Review process

– before the review?

- The Commission informs the project – via the Coordinator – approx. 2 months before the review meeting.
- Coordinator and the PO should agree on the date of the review and the agenda. Duration of the review meeting: 1 day approx.
- Consortium prepares the presentations (WP presentations) and all other requested documents (submitted deliverables, draft/final periodic report)
- Coordinator should send all requested documents and the presentations in advance.
- Reviewer(s) read all relevant documents before the review meeting, and may send questions in advance.

Dear Madam/Sir,

I am writing in connection with your above-mentioned grant and would like to inform you that we are planning a **review procedure**.

The review will cover the project activities that you have carried out.

It will examine:

- the degree to which the work plan has been carried out and whether all deliverables were completed
- whether the objectives are still relevant and provide scientific or industrial breakthrough potential
- how resources were planned and used in relation to the achieved progress, and if their use respects the principles of economy, efficiency and effectiveness
- the management procedures and methods of the project
- the beneficiaries' contributions and their integration within the project
- the expected potential scientific, technological, economic, competitive and social impact, and plans for using and disseminating results
- eligibility of the costs claimed
- compliance with other grant agreement obligations.

It will also cover the work of third parties involved in the project (*e.g. linked third parties, third parties giving in-kind contributions, subcontractors, etc.*)

We will be assisted by the following **outside expert(s)**:

- -
 -
 -
- -
 -
- -

Please let us know — within 5 days of receiving this letter — if you object to any of these experts on the grounds of commercial confidentiality, and explain the reasons why (via your [Funding & Tenders Portal account](#)).

The review will include a **review meeting** which will take place at the following venue:

Invitation to the review meeting

When?: 11/02/2020

Where?: Avenue Beaulieu 25, Bruxelles

Please provide us at your earliest convenience with a draft agenda for the meeting (unless already done).

I would be grateful if you could inform the other members of your consortium (if any) of this letter.

For any questions, please contact us via your [Funding & Tenders Portal account](#).

Review process

- during the review?

- PO introduces the Reviewer(s), progress and the purpose of the review;
- Coordinator introduces the partners (mainly WP leaders)
- Coordinator gives an overview about the project, progress and about any open/pending issues, next steps;
- Presentation of the WP leaders;
- Budget spending overview by the Coordinator;
- Q&A session with the Reviewer(s) and the PO;
- Closing remarks and feedback of the Reviewer(s) and the PO;
- Reviewer(s) assess the project based on the written material and information provided at the meeting. *(In the event of remote review, the assessment is based on written documents only)*



Outcome of the review

Expert Review Report - the reviewer(s) draw(s) up the Expert Review Report on a project, and the Commission sends it to the consortium via the coordinator, but it is not made public. If more than one expert is involved in project review, they issue a single consolidated report written by a rapporteur. In case of remote reviews separate review reports are developed.

- The reviewer(s) will also assist the Commission by recommending any changes that may be required. However, the final decision on recommendations and changes is taken by the Commission alone.
- Beneficiaries may comment on the review report within 30 days of receiving it.

Project assessment by the Commission - taking the experts' formal recommendations into account, the Commission informs the coordinator of its decision, which, however, may depart from the recommendations. It may entail

- Accepting or rejecting the deliverables
- Allowing the project to continue in its existing form
- Suggesting modifications,
- Suspend the project implementation (under certain conditions the project may continue when all requirements are fulfilled, or
- Taking steps to terminate the grant agreement or to exclude a beneficiary from taking part.

Subject: Horizon 2020 Framework Programme
Project:
Project review (Article 22)
Draft project review report

Dear Madam/Sir,

I am writing in connection with the above-mentioned review procedure for your grant.

Please find enclosed the draft review report. As you know it was drafted with the help of outside experts.

In our view, the project implementation is satisfactory.

To improve the implementation, we would recommend the following changes:

GENERAL PROJECT REVIEW CONSOLIDATED REPORT

Grant agreement (GA) number:	
Project ¹ Acronym:	InnORBIT
Project title:	Empowering innovation intermediaries to generate sustainable initiatives to incentivise and accelerate the commercialisation of space innovation
Type of action:	CSA
Start date of the project:	01/01/2021
Duration of the project:	30
Name of primary coordinator contact and organisation:	
Period covered by the report:	from 01/01/2021 to 15/11/2021
Periodic report/Reporting period number:	Assessment not linked to the end of a reporting period
Date of first submission of the periodic report (if applicable):	Not applicable
Amendments (latest AMD concerning description of the action) ²	Not applicable
Date of meeting with consortium (if applicable):	Not applicable
Name of project officer:	
Name(s) of monitors:	

A black clothespin is attached to a thin, dark string that runs diagonally across the frame. The clothespin is holding a white rectangular card. The card has the word "QUESTIONS?" written on it in a bold, orange, sans-serif font. The background is a plain, light gray wall.

QUESTIONS?

THANK YOU!

for your attention

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